

(Accredited by NAAC with 'A' Grade)

ಕ್ರಮಾಂಕ/No. MU/ACC/CR6/CBCS-PG(SLB)/2017-18/A2

ಕುಲಸಹವರ ಕಚೇರಿ ಮಂಗಳಗಂಗೋತ್ರಿ – 574 199 ಕರ್ನಾಚಕ, ಇಂಡಿಯ Office of the Registrar Mangalagangothri - 574 199 Karnataka, India

ದಿಸಾಂಕ/Date: 8/5/2017

#### **NOTIFICATION**

Sub: III & IV semester Choice Based Credit System syllabus of M.B.A. degree programme.

Ref: 1) This office Notification No. MU/ACC/CR7/CBCS-PG(SLB)/ 2016-17/A2, dated: 17-8-2016.

 Academic Council decision dated 3-2-2017 vide Agenda No. 3:24 (2016-17)

In continuation to this office Notification cited under ref. (1) above, the syllabus of III & IV semester Master of Business Administration- M.B.A. degree programme which approved by the Academic Council at meeting held on 3-2-2017 is hereby notified for implementation with effect from the academic year 2017-18 and onwards (for students of 2016-17 batch and onwards).

REGISTRAR

#### To:

- The Chairman of the Department concerned/ The Coordinator of the degree programme concerned.
- The Principal of the college concerned.
- 3) The Registrar [Evaluation], Mangalore University.
- 4) The Chairman of the Board of Studies concerned.
- 5) The Superintendent [ACC], Office of the Registrar, Mangalore University.
- 6) Guard file.

# MANGALORE UNIVERSITY DEPRIMENT OF BUSINESS ADMINISTRATION

#### **CHOICE BASED CREDIT SYSTEM-2016-2017**

## M.B.A Course Structure and Syllabi

## Minimum Credits required for M. B. A. Degree

I to IV	Hard Cor	eCourses	Soft Core Co	ourses	Tot	al
Semesters						
	Numbers	Credits	Numbers	Credits	Numbers	Credits
	13	52	10	40	23	92

# Minimum Courses to be registered by a student in a normal phase to successfully complete MBA Degree in four semesters.

Semesters	Hard Core Courses		Soft Core Courses		Total	
	Numbers	Credits	Numbers	Credits	Numbers	Credits
I	4	16	2	8	6	24
II	4	16	2	8	6	24
III	3	12	3	12	6	24
IV	2	8	3	12	5	20
Total	13	52	10	40	23	92

# Minimum Courses to be registered by a student in a normal phase to successfully complete MBA Degree in ODD and EVEN semesters.

Semesters	Hard Core Courses		Soft Core Courses		Total	
	Numbers	Credits	Numbers	Credits	Numbers	Credits
ODD	7	28	5	20	12	48
EVEN	6	24	5	20	11	44
Total	13	52	10	40	23	92

#### THIRD SEMESTER - MBA\*\*

	Hand	Number				
		of Credits				
Title of the Course		of Credits				
	Core					
Entrepreneurship and Small Business	НС	4				
Management Accounting	НС	4				
International Business Environment	НС	4				
SOFT CORE GROUP – 1						
Strategic Financial Management	SC	4				
	9.0					
, , , , , , , , , , , , , , , , , , ,	SC	4				
Management						
Tax Planning	SC	4				
SOFT CORE GROUP - 2						
Marketing Research and Consumer	SC	4				
Behaviour						
Promotions and Distribution	SC	4				
Management						
Retail Management	SC	4				
SOFT CORE GROUP – 3						
Training and Development	SC	4				
	Entrepreneurship and Small Business  Management Accounting  International Business Environment  SOFT CORE GROUP – 1  Strategic Financial Management  Security Analysis and Portfolio Management  Tax Planning  SOFT CORE GROUP - 2  Marketing Research and Consumer Behaviour  Promotions and Distribution  Management  Retail Management  SOFT CORE GROUP – 3	Entrepreneurship and Small Business HC  Management Accounting HC  International Business Environment HC  SOFT CORE GROUP – 1  Strategic Financial Management SC  Security Analysis and Portfolio SC  Management SC  SOFT CORE GROUP - 2  Marketing Research and Consumer Behaviour  Promotions and Distribution SC  Management SC  SOFT CORE GROUP – 3				

MBAS 511	Employees Relations Management	SC	4
MBAS 512	Stress Management	SC	4
	SOFT CORE GROUP – 4		
MBAS 513	Principles and Practice of Banking and	SC	4
	Insurance		
MBAS 514	Banking and Insurance Products	SC	4
MBAS 515	International Banking	SC	4
	SOFT CORE GROUP – 5		
MBAS 516	Hospital Service Management	SC	4
MBAS 517	Hospital Planning and Administration	SC	4
MBAS 518	National Health Plan and Health	SC	4
	Economics		

## FOURTH SEMESTER - MBA\*\*

Sl. No.	Title of the Course	Hard Core/Soft Core	Number of Credits			
MBAH 551	Strategic Management	НС	4			
MBAP 552	Project Report***	НС	4			
	SOFT CORE GROUP - 1					
MBAS 553	Financial Services	SC	4			
MBAS 554	International Financial Management	SC	4			
MBAS 555	Corporate Financial Restructuring	SC	4			
SOFT CORE GROUP - 2						
MBAS 556	International Marketing Management	SC	4			
MBAS 557	Services Marketing	SC	4			
MBAS 558	Rural Marketing	SC	4			
SOFT CORE GROUP - 3						
MBAS 559	Global Human Resources Management	SC	4			

MBAS 560	Compensation Management	SC	4
MBAS 561	Performance Management	SC	4
	SOFT CORE GROUP – 4		
	SOFT CORE GROUP - 4		
MBAS 562	Legal Aspects of Banking and Insurance	SC	4
MBAS 563	Treasury and Risk Management	SC	4
MBAS 564	Rural Banking	SC	4
	SOFT CORE GROUP – 5		
MBAS 565	Quality Management in Healthcare Organisation	SC	4
MBAS 566	Legal Issues in Healthcare and Business Ethics	SC	4
MBAS 567	Health Entrepreneurship	SC	4

#### \* Soft Core Courses in I and II Semesters:

Any two soft core coursesfrom the available Soft Cores shall be selected by a student at the commencement of I and II Semesters. The Department Council/ Affiliated College will announce at the beginning of the first and second semesters, any two or more soft core papers which will be offered during I and II semesters depending upon the availability of faculty and the demand for soft core courses. The minimum number of students opting for a soft core course should be ten.

#### \*\* Elective Groups:

Any one *group* from the available **Soft Core Groups** shall be selected by a student at the commencement of III Semester. Once a group has been selected, no change in the selected group will be allowed later in the fourth semester. The Department/ Affiliated College will announce at the end of the second semester, any one or more Soft Core Groups which will be offered during III and IV semesters depending upon the availability of faculty members and the demand for soft core group. A Soft Core Group can be offered if there are minimum ten students opting for that group.

#### \*\*\*Project Work:

A student in the third semester shall register for a Project Work which carries 4 credits in the fourth semester. Work load for Project Work is one contact hour per student per week for the teacher. The student shall do field work and library work in the remaining 3 credit hours per week.

#### **MBAH 501: Entrepreneurship and Small Business**

Workload : 4 hours per week. - Total credits: 4

Examinatio : 3 hours 70 marks

Objectives : The objective of the course is to familiarize the students with the

process of entrepreneurship and the institutional facilities

available to an entrepreneur in India.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

Chapter 1: Entrepreneurship: Concept of Entrepreneurship and Entrepreneur – Definition – Process of entrepreneurship –Theories of Entrepreneurship - Characteristics of successful entrepreneurs – Functions of an Entrepreneur - Family Entrepreneur- Growth of Entrepreneurship in India - Entrepreneur and Entrepreneurship in economic development – Entrepreneurship Development Programmes (EDPs).

Chapter 2: New Ventures and Business Plan: Business idea — methods of generating ideas — sources of business idea — evaluation of the environment — analysis of the industry and the competitors — final selection of the business idea.— Business Plan - Need for a Business plan - Steps in the preparation of business plan - Operating plans and financial plans- Organizational Plan - Marketing plan-Marketing functions —Market research and its implications.

**Chapter 3: Feasibility Planning** - Planning paradigm for new ventures - Stages of growth model - Fundamental of a good feasibility plan - Components of feasibility plan.

**Chapter 4: Business Acquisitions and Franchising:** Kinds of Mergers and Acquisitions - Advantages and disadvantages of acquiring established business - considerations for evaluation of business - Methods of valuing a business - Franchising — advantages and disadvantages of franchising — evaluation of Franchise arrangement.

**Chapter 5: Managing growth:** Life cycle of an entrepreneurial venture - Role of entrepreneur during various transition stages – Growth and Strategic Management – Growth through Expansion, Diversification, joint venture, Sub-Contracting.

**Chapter 6: Financing a new venture:** Financing and its effects on effective asset management - Alternate methods of financing - Venture capital and new venture financing, Venture capital process, venture leasing, Private Equity, Foreign Direct Investments, Foreign Institutional Investments - working out for working capital requirement, Government agencies assisting in financing the project.

Chapter 7: Small Business and Government Policy: Role of Small Business in building the economy - Strengths and weaknesses of small business — Definition of small business under the Micro, Small and Medium Enterprises Development

Act, 2006 – Small business after Liberalization, Privatization and Globalization(LPG) - Export potentials of Small Scale Industries - Causes for small business failure - Success factors for small business. Government Policy in respect of Small business; Role of Central Government and State Government in promoting Entrepreneurship with various incentives, subsidies, grants etc. – with special reference to 'Export oriented unites'.

**Chapter 8: Women and Rural Entrepreneurship:** Growth and Challenges to Woman Entrepreneurs, Achievements of Woman Entrepreneurs, Role Models of Woman Entrepreneurs. NGO and Rural Entrepreneurship- need for rural Entrepreneurship-Problems of rural Entrepreneurship.

**Chapter 9: Legal aspects:** Requirements for successful patent grants - steps in obtaining a patent - Registration of trademark - copy right and the concept of fair use - Protection of intellectual property.

- 1. Hold Davis H Entrepreneurship Prentice Hall of India.
- 2. Siropolis Nicholas Entrepreneurship and Small Business, 6<sup>th</sup> edition All India Publishers and Distributors.
- 3. Prasanna Chandra Projects, Planning, Analysis, Selection, Implementation and Review, 4<sup>th</sup> edition Tata McGraw Hill
- 4. Peters Hisrich Entrepreneurship, 4<sup>th</sup> edition. Irwin McGraw-Hill
- 5. Burns Tim Break the curve International Thomson Business Press
- 6. Harvard Business Review on Entrepreneurship Harvard Business School Press.
- 7. R V Badi and N V Badi Entrepreneurship, Recent Edition Vrinda publications (P) Ltd.
- 8. C.S.V.Murthy Small Scale Industries and Entrepreneurial Development Himalaya Publishing House.
- 9. MadhurimaLallShikhaSahai Entrepreneurship Excel Books.
- 10. S.S. Khanka Entrepreneurship Development S. Chand and Company Ltd
- 11. Marc J. Dollinger -Entrepreneuship Strategies and Resources Pearson Education.

#### **MBAH 502: MANAGEMENT ACCOUNTING**

Workload : 4 hours per week. - Total credits: 4

Examinatio : 3 hours 70 marks

Objectives : The objective of the course is to familiarize the students with the

concept of management accounting and its uniqueness, its applications in various managerial applications and various tools

used in the managerial decision making process.

Pedagogy: Lectures, assignments, practical exercises, discussions, seminars.

Chapter 1: Introduction to Management Accounting: Management Accounting Vs Cost Accounting - Management Accounting budget Vs. Financial Accounting - Role and scope of Management Accounting - Role of Management Accountant.

Chapter 2: Budgetary Control: Elements of management planning and control system – Budgeting as a tool – uses and organisation of Budgets – Organisation for Budgeting Control – The Budgeting process – steps in preparation of budgets – classification of budgets – Functional budgets – cash budgets – master budget – Fixed Vs. Flexible budgets – budget reports – Budget variances and reporting. Zero based budgets. Responsibility Accounting – Cost centre – Revenue centre – investment centre – profit centre.

**Chapter 3: Cost of Capital**: Cost of equity, debt, retained earnings, rights and bonus issues – weighted average cost of capital.

**Chapter 4: Capital Budgeting**: Appraisal of long-term projects – techniques of appraisal – Payback, Accounting rate of return, NPV, IRR and profitability index.

**Chapter 5: Marginal Costing and Break-even Analysis**: Absorption vs. Marginal costing – contribution analysis – Relevance of Marginal Costing – P/V ratio – Break-even analysis. P/V and Break-even graphs – C-V-P analysis.

Chapter 6: Cost analysis for decision making: The role of costs, relevant costs, differential costs – cost behaviour – make or buy, product mix decisions, accepting special orders, decision to shut down or continue operations – marginal pricing and its relevance limiting factors – formulation of linear programming model using product mix and limiting factors.

Chapter 7: Analysis and Interpretation of financial statements: Uses and limitations of financial statements, Trend analysis and ratio analysis. Practical problems using company annual reports.

**Chapter 8: Statements of changes in Financial position:** Funds flow and cash flow analysis – Net working capital schedule – International and Indian accounting standards on statement of changes in financial positions – practical problems using company annual reports.

**Chapter 9: Working Capital estimates for companies**: Estimating the requirements – Gross working capital and net working capital – operating cycle.

- 1. Charles T. Horngren -Introduction to Management Accounting Pearson Education.
- 2. Robert N.Anthony& Glenn A. Welsch -Fundamentals of Management Accounting -Richard D Irwin.
- 3. W.M.Harper Management Accounting-Trans-Atlantic Publications.
- 4. Manmohan&Goyal -Principles and Practice of Management Accounting-SahityaBhawan,Agra .
- 5. Anthony A. Atkinson-Management accounting-Pearson Education.

- 6. Issac Wayne Keller & William L. Farrara Management Accounting for Profit Control-McGraw- Hill, Inc., U.S.
- 7. Robert S.Kaplan -Advanced Management Accounting- Prentice Hall.
- 8. Robert S Kaplan & Anthony A Atkinson Advanced Management Accounting Pearson Education Asia.
- 9. Hrishikesh Bhattacharya Working Capital Management(Strategies and Techniques)-PHI.
- 10. Satish B Mathur- Warking Capital Management and Control(Principles & Practice)-New Age International Publication.
- 11. I M Pandey- Financial Management- Vikas Publishing House Pvt Ltd.
- **12.** S.P.Jain&K.L.Narang-Cost and Management Accounting, KalyaniPublishers .
- 13. M.Y.Khan&P.K.Jain- Management Accounting Mc Graw hill, New delhi.
- 14. Coombs-Management Accounting-SAGE South Asia Publication.
- 15. J.Madegowda- Management Accounting-Himalaya Publishing House.
- 16. Horngreen ,Sundem,Stratton- Introduction to Management Accounting Prentice Hall India, New Delhi.
- 17. Pandey IM Management Accounting- Vikas Publishing House, New Delhi, 3<sup>rd</sup>Edn.
- 18. Sahaf MA- Management Accounting : principles and practice Vikas Publishing House, New Delhi, 3rd Edn.

#### **MBAH 503: International Business Environment**

Workload : 4 hours per week. - Total credits: 4

Examinatio : 3 hours 70 marks

Objectives : The purpose of this paper is to enable the students learn nature

scope and structure of International Business, and understand the influence of various environmental factors on international

business operations.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1:** Introduction to International Business: Importance nature and scope of International business; modes of entry into International Business internationalization process and managerial implications. Approaches to international business. Trade Theories.

**Chapter 2:** Environmental Context of International Business: Framework for analyzing international business environment – Domestic, foreign and global environments and their impact on international business decisions.

**Chapter 3:** Global Trading Environment: World trade in goods and services – Major trends and developments; World trade and protectionism – Tariff and non-tariff barriers; Counter trade.

**Chapter 4:** International Financial Environment: Foreign investments-Pattern, Structure and effects; Movements in foreign exchange and interest rates and then impact on trade and investment flows. Capital flows, foreign investment flow and barriers: FDI and FIIs

**Chapter 5:** International Economic Environment: World Economic and Trading Situation; International Economic Institution and Agreements; WTO, IMF, World Bank UNCTAD, Agreement on Textiles and Clothing (ATC), GSP, GSTP and other International agreements; International commodity trading and agreements. BRICS and BRICS Bank

**Chapter 6:** Regional Economic Groupings in Practice: Regionalism vs. multilaterallism, Structure and functioning of EC and NAFTA; Regional economic cooperation.

**Chapter 7:** Multinational Corporations and their involvement in International Business: Issues in foreign investments, MNCs and host and home country relations; technology transfer, Global Operations-need and importance of outsourcing, advantages of outsourcing to India, Global manufacturing system and practices-Current issues and future developments. Global competitiveness; Indicators of competitiveness – competitive advantages of nations.

**Chapter 8:** Emerging Developments and Other Issues: Growing concern for ecology; Counter trade; IT and international business.

- 1. Bennet, Roger, International Business, Financial Times, Pitman Publishing, London, 1999.
- 2. Bhattacharya, B., Going International: Respon se Strategies of the Indian Sector, Wheeler Publishing, New Delhi, 1996.
- 3. Czinkota, Michael R., et. al., International Business, the Dryden Press, Fortworth, 1999.
- 4. John D Daniel, Lee H Radebaugh and Daniel P Sullivan, International Business: Environment and Operations, 10<sup>th</sup> Edition., Pearson Education.
- 5. Griffin, Ricky W. and Pustay, Michael W, International Business: A Managerial Perspective, Addison Wesley, Readings, 1999.
- 6. Hill, Charles W. L., International Business, McGraw Hill, New York, 2000.
- 7. Aswathappa K, Essentials of Business Environment, 10<sup>th</sup> Revised Edition, HPH.
- 8. Francis Cherunilam, International Business, Revised Edition, PHI
- 9. Francis Cherunilam, Global Economy and Business Environment , Revised Edition, HPH.
- 10. Vishal Kumar, International Business, 1<sup>st</sup> Edition, 2015, Kalyani Books.
- 11. Rosy Joshi and Sangam Kapoor, Business Environment, 2 nd Edition, 2015, Kalyani Books.
- 12. A Mustafa, International Business Environment, 1<sup>st</sup> Edition 2013, Kalyani Books.

#### MBAS 504: STRATEGIC FINANCIAL MANAGEMENT

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : The objective of the course is to provide knowledge about

various functions of financial management and decisions.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Financial Management**: Objectives and Functions.

**Chapter 2: Valuation of securities:** Approaches to corporate valuation, valuation of equities including cash flow, dividends and earnings approach -valuation of debts and preference shares.

**Chapter 3: Capital Structure:** factors affecting capital structure, Capital structure theories.

**Chapter 4: Leverage:** Operating leverage, financial leverage, combined leverage, EBIT and EPS analysis, ROI and ROE analysis.

**Chapter 5: Dividend policy:** Factors affecting dividend decisions. Walter model, Gordon model, Rational expectations model corporate dividend behavior of companies, Legal and procedural aspects.

Chapter 6: Institutional setup for term finance and working capital finance: term lending institutions and commercial banks, NBFCs. (detail study of IFCI, IDBI etc. is not required).

**Chapter 7: Venture capital funds**: Stages in Venture capital financing, Business plan, elements of a business plan, the process of venture capital financing, methods of venture capital financing, future prospects of venture capital financing.

**Chapter 8: Project planning and analysis:** project - Meaning and concept - Project life cycle -generation and screening of ideas - Analysis of market and demand - Technical and Financial Analysis

- 1. Rajiv Srivastava and Anil Misra- Financial Management- OXFORD University Press.
- 2. Prasannachandra -Financial Management- Tata Mc GrawHill
- 3. I M Pandey -Financial Management-Vikas Publishing House Pvt. Lt. New Delhi
- 4. Lawrence D. Schall & Charles W. Haley -Introduction to Financial Management-McGraw Hill.
- 5. Van Horne- Financial Management and policy- PHI.
- 6. John J Hampton Financial Decision Making-PHI .
- 7. Prasanna Chandra -Finance Sense –Tata McGraw Hill.
- 8. Prasanna Chandra Project management-Tata McGraw Hill.
- 9. GirishAhiya& Ravi Gupta Systems approach to income tax
- 10. Harvey Maylor-Project Management- Pearson Education
- 11. R C Mishra abdTarunSoota-Modern Project management- New Age International Pvt. Ltd.

- 12. Dr Vinod K singhania , Monica Singhania Students Guide to Income Tax
- 13. Vishwanath.S.R-Corporate Finance(Theory and Practice)-Response Books
- 14. K Nagarajan-Project Management-New Age International Pvt. Ltd.
- 15. Jakhotiya GP- Strategic Financial Mangement- Vikas Publishing House, New Delhi, 2<sup>nd</sup>Edn.

#### MBAS 505: SECURITY ANALYSIS AND PORTFOLIO MANAGEMENT

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : The objective of the course is knowledge about capital market

and various investment avenues, the risk return associated with different investments. It also aims to provide different techniques

of evaluating the investments.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

Chapter 1: Investment Environment: Real estates and financial assets - short term &long term -call money , treasury bills , CDs, CPs, LCs, discounting of bills , equities, bonds, debentures, fixed deposits, mutual fund units, tax sheltered saving schemes , life insurance and pension products, securitised and non securitised investments, warrants and convertibles and non-convertibles , financial derivatives, Foreign equities - investment process .

**Chapter 2: Securities Market**: Primary & secondary -Structure and functioning of the market, stock exchanges- listing, trading and settlement procedures— OTCEI, NSE, BSE, MCX-SX, - important international stock exchanges- depositories -recent developments -stock market indices - BSE SENSEX, BSE -100, BSE-200, Nifty, Dollex and, an overview of other indices - calculation of index.

**Chapter 3: Risk And Return**: systematic & unsystematic risk - calculation of beta - using beta to estimate return - expected risk & return - Significance of beta in the portfolio theory — estimation of beta from historical share prices, market risk.

Chapter 4: Economic , Industry & company analysis: economic forecasting & investment decision -economic forecasting methods -industry analysis -classification schemes -key characteristics -industry life cycle -company analysis -financial and non-financial factors .

**Chapter 5: Efficient market theory** – Forms of market efficiency, Weak form efficiency - random walk hypothesis, semi strong and strong form efficiencies – tests for weak, semi-strong and strong form efficiencies.

**Chapter 6: Technical analysis**: concept -types of charts -Dow theory -price pattern - support and resistance levels -relative strength analysis -moving averages -breadth of the market -volume -momentum -confidence index -contrary opinion theory - oscillators – stochastics -Elliot wave theory.

Chapter 7: Portfolio Selection & Portfolio Analysis: rate of return -indifference curves- calculating expected returns & standard deviations of portfolios -efficient set theorem -concavity of the efficient set - Markowitz model, Sharpe's single index model-diversification, Constructing optimal portfolios using Markowitz's model and Sharpe's models.

**Chapter 8: Capital asset pricing model**: assumptions -capital market line -security market line -arbitrage pricing theory -factor models -pricing effects -two factor and multiple factor models. Arbitrage Pricing Theory (APT) – Factor Models – Principles of Arbitrage, Arbitrage portfolios, pricing Effects, Multiple Factor models, Identifying the factors.

**Chapter 9: Derivatives**: Options – put and call options, -Trading-margin -valuation-binomial option pricing model –Black-Scholes model -index options. FUTURES - hedgers & speculators -contract -markets -returns -expected & current spot prices - futures options -index future.

Chapter 10: Portfolio Revision and Evaluation – Managed portfolios, Mutual Fund – Concept, history and types, Advantages and disadvantages, Net Asset Value, Indian Mutual Fund Scenario, Performance measurement using Sharpe, Treynor, Jenson, Fama and M<sup>2</sup> measures, information Ratio performance measures, GT Performance Measures.

- 1. Donald E Fischer & Ronald J Jordan -Security Analysis and Portfolio Management-Prentice Hall of India, New Delhi.
- 2. Gordon J Alexander, William F Sharpe and Jeffery V Bailey- Fundamentals of Investments-Prentice Hall of India, New Delhi.
- 3. Prasanna Chandra-Investment Analysis and Portfolio Management- Tata Mc Graw Hill, New Delhi.
- 4. William Sharpe- Portfolio Theory and Capital Markets -McGraw-Hill Ryerson, Limited.
- 5. V K Bhalla,-Investment Management: Security Analysis and Portfolio Management-S Chand & Company Ltd. New Delhi.
- 6. James L Farrel Portfolio management-McGraw-Hill/Irwin.
- 7. Fairplace, Cormac Butler,D C Gardner Credit Derivatives Financial times Prentice Hall .
- 8. Robert Jarrow&Stuart Turnbull- Derivative Securities South-Western College Publishing.
- 9. N D Vohra and B R Bagri Futures and Options Tata Mc Graw Hill, New Delhi.
- 10. Mahajan Futures & Options -Orient Paperbacks (vision Bks) N Delhi.
- 11. Albert J. Fredman& Russ Wiles -How mutual funds work New York Institute of Finance.
- 12. Sahadevan & Thiripalraju mutual funds -data, analysis, and interpretation Prentice Hall of India, New Delhi.
- 13. Khatri Dhanesh Kumar- Investment Management and Security Analysis- Mc Millan Publishers India Ltd.
- 14. Ranganatham M., Madhumathi R.- Security Analysis and Portfolio Management-Pearson.
- 15. Parameshwaran Sunil K.- Futures of options, concepts and applications- Tata McGraw.
- 16. Punithavathy Pandian-Security Analysis and Portfolio Managemnt-Vikas Publishing House Pvt Ltd., New Delhi.
- 17. S Kevin-Security Analysis and Portfolio Management Prentice Hall of India, New Delhi.
- 18. Dr. V A Avadhani- Investment and Securities Market in India- Himalaya Publishing House, New Delhi.
- 19. Rajiv Srivastava-Derivatives and Risk Management-Oxford University Press, New Delhi.
- 20. John C Hull and Sankarshan Basu-Options, Futures and Other Derivatives, Pearson Education.
- 21. Barua ,Verma & Raghunathan -Portfolio management- Ahmedabad Indian Institute of Management .

#### **MBAS 506: Tax Planning**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : The objective of the course is to familiarize the students with the

tax laws and know the impact of tax laws on companies and individuals. It also aims to provide different strategies to

minimize the tax liability.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1:** Tax Laws and Rules: Income tax Act, Wealth tax Act, Expenditure Tax Act, Interest Tax Act, Finance Act, Black Money and Imposition Tax Act. Indirect Tax. Current Tax Rates. Central Excise and VAT, Customs Duty, Service Tax, Central Sales Tax and VAT, Primer on GST

**Chapter 2:** Tax Planning: objectives and significance, Tax Evasion, Tax Avoidance and Tax Planning; Types of tax planning. Special Problems covering on the assessment of individuals, Firms, AOP, Companies. — Tax planning with special reference to employee's remuneration - Scope of Tax Planning / Tax avoidance / Tax evasion.

**Chapter 3:** Corporate tax planning: areas of corporate tax planning; Partnership tax planning, Fund Structuring, Mergers & Acquisitions, Private Equity Investments, Real Estate Investments, Tax Treaty, Planning / Withholding Taxes, Tax controversies, Exempt Organizations, VAT and other indirect taxes

**Chapter 4:** Tax planning in respect of Amalgamation or de-merger of Companies or business restructure, Tax planning with reference to setting up of a new business; locational aspects, nature of business; Planning of tax holiday benefits, limitations on the tax planning exercises, flexible planning premises; planning in the context of court ruling and legislatives amendments.

**Chapter 5:** Tax planning with regards to specific managerial decisions such as to make or buy, own lease or hire etc. Tax planning with Books for Reference to financial management decisions such as capital structure of a company and tax incidence; tax as a variable in computing cost of capital; tax considerations in dividend policy and bonus share issue

**Chapter 6:** Tax planning and important provisions of wealth tax and court rulings and legislatives amendments pertaining to wealth tax. Return of Income and assessment, Advance payment of tax. Tax deduction at Source.

**Chapter 7:** Personal tax planning: Income and Expenditure; Employment Income, Expenses and Allowable Deductions, Other Taxable Benefits, Income and Dividends from a Business and Self-Employment, Investment Income and Expenses, Personal Deductions, Income Splitting Tax-Advantaged Investments, Deferred Income Plans. Permissible Exemptions, Deductions, Rebates, Relief and Filling of tax return.

Books for Reference:

- 1. Vinodh .K. Sanguine, Direct taxes Law and Practice, Taxman's Publication, 2012-13
- 2. S Datey, Indirect Taxes-Law & Practice, Taxman's Publication, 2012-13
- 3. Ravi Gupta and Ahuja, Systematic Approach to Income Tax,Barath Law House(p)Ltd,2012-13

- 4. DinkarPagare, Law and Practice of Income Tax, Sultan Chand and Sons, New Delhi, 2012-13
- 5. Kaushal Kumar Agrawal, Direct Tax Planning and Management, Edition 2007, Atlantic.
- **6.** Kaushal Kumar Agrawal, Corporate Tax Planning (Volume 1) 6th Edition, Atlantic.
- **7.** Rajeev Puri and Puja Gaur, Corporate Tax Planning and Management, 13<sup>th</sup> Edition 2016, Kalyani Books.
- 8. Direct and Indirect tax up to date.

#### MBAS 507: MARKETING RESEARCH AND CONSUMER BEHAVIOUR

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : The objective of the course is to familiarize the students with the

consumer behavior.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Marketing Research: Definition:** Aims and objectives – contribution of marketing research. Need and scope for marketing research. Techniques of marketing research. Steps involving research procedure.

Chapter 2: Research Methodology & Research plan: Research design, types of research, exploratory and descriptive steps involved in research design.

**Chapter 3: Data Collection**: Types of data, sources; secondary data, limitations of secondary data; primary data- questionnaires, question sequence, question requirements, types of question, conducting the survey.

**Chapter 4: The Sampling Process & Data Processing:** The sample, theory of sampling, types of sampling, probability and non-probability methods of sample selection. Data processing methods, tabulation, analysis and interpretation of data; statistical tool for data analysis, Use of statistical software packages. Preparation of the research report.

**Chapter 5: Consumer Behaviour:** Consumer behaviour as marketing discipline - meaning and scope of consumer behaviour – decision process approach; Psychology and consumer behaviour.

**Chapter 6: Nature of Buyer behaviour process**: Buyer behaviour models, Consumer decision processes. Nature and characteristics of Indian consumer buying process.

Chapter 7: Consumer behaviour and social influences, Forms of social influences – culture, subculture, social class, reference groups, family, sales person's influence, influence of advertising and other situational influences.

**Chapter 8: Consumer motivation**: Major components of consumer motivation, consumer perception, key factors in perception. Nature of consumer learning, major factors in learning. Consumer attitudes: Concept, components of attitude, relation of consumer attitude to consumer behaviour.

- 1. Bradley-Marketing Research: Tools & Techniques-Oxford.
- 2. <u>SunandaEaswaran</u> & <u>Sharmila J. Singh</u>-Marketing Research: Concepts, Practices and Cases-Oxford.
- **3.** Naresh K. Malhothra, Satyabhushan Dash -Marketing research : an applied orientation -Pearson education .
- **4.** Alvin C.Burns Ronald F Bush, Marketing Research-Pearson Education.
- 5. Dr. S. Ramesh Kumar -Case Studies in Consumer Behaviour- Pearson Education.
- **6.** S Ramesh Kumar -Conceptual Issues In Consumer Behavior Indian Context Pearson
- 7. Schiffman Leon G. and Kanuk Leslie Lazar Consumer Behaviour -Pearson/Prentice Hall.

- **8.** G.C.Beri- Marketing Research-Tata McGrew Hill Education Private Limited.
- 9. Hair, Bush, Ortinau- Marketing Research- Tata McGraw Hill
- 10. Nargundkar Marketing Research Tata McGraw Hill.
- 11. Cooper Schindler- Marketing Research, Concept& Cases-TMGH.
- 12. Loudon D.L. and Bitta Della Consumer Behaviour, Tata Mc Graw Hill.
- 13. M. N. Mishra- Modern Marketing Research Himalaya Publishing House
- 14. C.N. Sontakki -Marketing Research- Himalaya Publishing.
- **15.** Suja R. Nair- Consumer Behaviour and Marketing Research- Himalaya Publishing.
- 16. Suja R. Nair- Consumer Behaviour in Indian Perspective- Himalaya Publishing.
- 17. S.A. Chunawalla- Commentary on Consumer Behaviour- Himalaya Publishing.
- 18. C.N. Sontakki- Consumer Behaviour- Himalaya Publishing.
- 19. Rajeev Kumar- consumer behavior- Himalaya Publishing.
- 20. Wek, Rubin- Marketing Research- Prentice Hall India

#### MBAS 508: PROMOTION AND DISTRIBUTION MANAGEMENT

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : To understand the importance of promotion and distribution

function in the entire chain of marketing.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars,

case studies.

**Chapter 1: Advertising**: concept, importance, objectives- types - role of advertising advertising Copy - Layout, Advertising agencies - working and services-Advertising budget-methods- Media and media selection, scheduling - types of ad appeals-Evaluation of advertising effectiveness.

**Chapter 2: Public relations**-concepts, objectives- importance of public relations, Methods of public relations, issuing of News and Information, Issuing press releases, integration of public relation into the marketing mix, power of publicity, corporate publicity, Relationship marketing-Sales promotion-concepts and importance-tools and types - promotion budget-methods and types-Direct marketing, its nature and importance- new developments in promotion- kiosk marketing, Guerrilla Marketing.

**Chapter 3: Sales Management-**concept and its role in the firm — Sales Planning-Sales forecasting, methods of sales forecasting -sales budgetary procedure, methods of sales forecasting, Sales personnel planning—recruitment, selection and training-territory management. Sales compensation, Evaluation of sales force performance. Personal Selling process.

**Chapter 4: Physical distribution**- distribution channel-channel logistics-channel design-channel advantages and disadvantages-Cost/benefit analysis of channels - importance of channel marketing – distribution network building. Retail management and its importance.

**Chapter 5: Supply chain management** –concept, objectives, inventory management, uses of SCM- advantages and limitations-Current trends in SCM.

**Chapter 6: Virtual marketing**: technological development, development of ecommerce, different commercial models, diverse roles of websites. Virtual value chain, disintermediation, cyber-mediaries.

- 1. Eugene M. Johnson, David L Kurtz, Eberland K Scheving –Sales Management, Concepts, practices & Cases- Mc Grow-Hill
- 2. J.L.Gattome and D.W.Walters- Managing Supply Chain-A Strategic Perspective- Palgrave Macmillan
- 3. B.S. Sahey Supply Chain Management Mcmillan
- 4. U.C.Mathur- Advertising Management-New Age International Publications.
- **5.** Belch MA and Belch GE Advertising and Promotion- An Integrated Marketing Communication Perspective -Tata McGraw Hill.
- **6.** Batra Myers and Aker Advertising Management -Pearson/ Prentice Hall.
- 7. S.A.Chunawala and K.C. Sethia- Advertising -Himalaya publication House.

- 8. Kenneth.E.Clow, &Donald Baack Integrated Advertising, Promotion and marketing Communication- Pearson Education.
- 9. Tapan. K Panda & Sunil Sahadev-Sales & Distribution Management- Oxford Higher Education.
- 10. S.C.Bhatia-Retail Management-Atlantic Publishers & Distributors.
- 11. SwapnaPradhan -Retailing Management -Atlantic Publishers & Distributor.
- 12. Berman-Retail Management: A Strategic Approach- Pearson Education India.
- 13. Mentzer- Supply Chain Management-Sage Publications

#### **MBAS 509: Retail Management**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : To understand the concepts of effective retailing and the recent

trends in retailing in India.

Pedagogy: Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Introduction**: An overview of Retailing – Challenges and opportunities – Retail trends in India – Socio economic and technological Influences on retail management – Government of India policy implications on retailing. Emerging trends in retailing: Changing nature of retailing, organized retailing, Modern retail formats, E-tailing, Challenges faced by the retail sector

**Chapter 2: Retail Formats**: Organized and unorganized formats – Different organized retail formats – Characteristics of each format – Emerging trends in retail formats – MNC's role in organized retail formats.

**Chapter 3: Retailing Decisions**: Choice of retail locations - internal and external atmospherics - Positioning of retail shops - Building retail store Image Factors determining the location decision, Steps involved in choosing a retail locations, Measurement of success of location - Retail service quality management - Retail Supply Chain Management .

**Chapter 4: Retail Shop Management**: Visual Merchandise Management – Space Management – Retail Inventory Management – Retail accounting and audits - Retail store brands – Retail advertising and promotions – Retail Management Information Systems - Online retail – Emerging trends.

**Chapter 5: Retail Shopper Behaviour**: Understanding Retail consumer behaviour, Factors influencing the Retail consumer, Customer decision making process, Types of decision making, Retail shopper behavior – Shopper Profile Analysis, Complaints Management - Retail sales force Management

**Chapter 6: Understanding the Retail Consumer:** Importance of Market research for understanding retail consumer behaviour. Market Segmentation and its benefits, Kinds of markets. Strategy for effective market segmentation- Strategies, Retail value chain.

Chapter 7: Retail Operations and Retail Pricing: Store administration, Premises management, Inventory Management, Store Management, Receipt Management, Customer service, Retail Pricing, Factors influencing retail prices, Pricing strategies, Controlling costs.

- 1. Michael Havy ,Baston, Aweitz and Ajay Pandit, Retail Management, Tata Mcgraw Hill, Sixth Edition, 2007
- 2. Ogden, Integrated Retail Management, Biztantra, India, 2008.
- 3. Patrick M. Dunne and Robert F Lusch, Retailing, Thomson Learning, 4th Edition 2008.
- 4. Chetan Bajaj, Rajnish Tow and Nidhi V. Srivatsava, Retail Management, Oxford University Press, 2007.

- 5. Swapna Pradhan, Retail Management -Text and Cases, Tata McGraw Hill, 3 rd Edition, 2009.
- 6. Dunne, Retailing, Cengage Learning, 2nd Edition, 2008
- 7. Ramkrishnan and Y.R.Srinivasan, Indian Retailing Text and Cases, Oxford University Press, 2008

#### **MBAS 510: TRAINING AND DEVELOPMENT**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : To understand the need for training and development and various

methods of training and development.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Introduction to Training and Development:** understanding the concept of training, education, learning and development- a comparison. Functions, training process, Models of management training, approaches to management training and development, levels of management training, current trends, concept of HRD.

**Chapter 2: Need for Training and Development**: determination of training needs and objectives, areas of training, motivation for trainers and trainees, training formula, techniques, responsibilities, areas and problems, organising training programmes.

**Chapter 3: Methods of Training:** On the job and off the job training, apprenticeship training programme, training of rank and file workers, supervisors. Latest methods of training, the operative and supervisory staff training.

Chapter 4: Management and Organisation of Training: responding to learners' needs, transfer and conducting training, positive reinforcement, motivational incentives and strategies- Keller's Arcs Model, training manuals, selection and training of trainers, ILO and training in new skills, government agencies and workers' education.

Chapter 5: Effectiveness and Evaluation of Training: Monitoring Trainee's Performance, Faults Analysis, designing training programmes, evaluation of training, models of evaluation, stages of evaluation, job behaviour evaluation, cost benefit analysis, evaluating training staff performance, action strategies to improve training effectiveness, reviewing effectiveness of training, evaluation of training costs, human resource accounting.

Chapter 6: Management Development Programmes: Need and objectives, methods of management developments- lectures, field trips, panel discussions, behaviour modelling, brain storming, case studies, role play, action mazes, critical incident, in-basket, team tasks, job rotation, buzz groups, syndicate discussions, simulations, business games, fish bowls, T groups, transactional analysis, managerial grid, organisation development, transcendental meditation, and latest methods of development.

**Chapter 7: Competency Based Management Training:** introduction-concept of competency, competency based training programmes- need and importance, purpose and characteristics, paradigm shift in management training.

**Chapter 8: Administration and Limitations of MDP:** Evaluation of MDP, comparative value of different methods, making training and development effective. A survey of training and development programmes in India.

- 1. Frank H E, Hounsell J D, Kubr M -"An Introductory Course in Teaching and Training Methods for Management Development"-ILO Publication, Geneva.
- 2. Mohnet and others- Training and development- A Sectoral Analysis-Oxford and IBH publication.
- 3. William E. Blank Handbook for developing Competency Based Training Programs- Prentice Hall, New Jersey.
- 4. PanduNaik. G -Training and Development- Text, research and cases- Excel Books, New Delhi.
- 5. R.K.Sahu- Training and Development, 1<sup>st</sup>edn- Excel Books, New Delhi.
- 6. P.L.Rao- Training and Development, 1stedn- Excel Books, New Delhi.
- 7. B.L.Gupta- Management Training and Development-Vrinda Publications, Delhi.
- 8. Raymond A Noe and Amitabh DeoKodawani- Employee Training and Development- Tata Mc Graw Hill.
- 9. Argyris C- Reasoning, Learning and Action- San Francisco, Jossy Bass.
- 10. Bob Wilson, Methods of Training- Individualised instructions-ParthenonPublishing Group Ltd, Park Ridge.
- 11. Davies Eddie-The Training Managers Handbook- Crescent Publishing House, New Delhi.
- 12. S.Nakkiran and M.Karthikeyan Training Techniques for Management Development-Deep and Deep Publications, New Delhi.
- 13. Taylor.B and G. Lippitt, Management development and Training Hand Book- Mc Graw Hill, New Delhi.

#### **MBAS 511: EMPLOYEE RELATIONS MANAGEMENT**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : To understand the significance of employee relations in modern

organizations and various legislations relating to employees

relations.

Pedagogy: Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Introduction:** Concept and importance of Employee relations, comparison between Industrial relations and Employee relations, paradigm shift from IR to ER, trends in employee relations management.

Chapter 2: Employee Relations Management at Work: A strategic frame work, policies and processes, culture and employee relations, the role of ER manager, the future of employee relations. Changing characteristics of industrial employees.

Chapter 3: Employee Relations and Legislations: Regulations of employee relations- ID Act, 1947. Regulations of working conditions- Factories Act, 1948, Contract Labour Act, 1970, Shops and Establishments Act, 1953.Regulations of social securities- ESI Act, 1948, Worksmen Compensation Act, 1923, Payment of Gratuity Act, 1972. Regulations of wage- Payment of Wages Act, 1936, Minimum Wages Act, 1948, Payment of Bonus Act, 1965.

**Chapter 4: Employee Discipline:** Code of Discipline- Industrial Employment (SO) Act,1946. EmployeeGrievance handling procedure, Domestic inquiry procedure.

**Chapter 5: Employee Relations and Negotiation:** Conflict and Negotiations, situations requiring negotiation, integrative and distributive negotiation strategies, the basic negotiation process- strengthening collective bargaining, employee counseling methods.

Chapter 6: Industrial Conflict: Nature of conflict and its manifestations - conflict data and trends, Conflict resolutions, tripartite and labour administrative machinery, Prevention and Settlement of industrial disputes; Rise of industrial disputes - meaning and forms of Industrial disputes, Causes & effects of industrial disputes, right to strike and industrial peace, Settlement of industrial disputes, Joint consultations, negotiations, conciliation and mediation, Arbitration (Voluntary & compulsory) Adjudication Procedure.

Chapter 7: Workers participation in Management: Introduction and concept - determinants, forms and level of participation -Sachar and Verma Committees, workers' participation in management in India -Jamshedpur experiment -workers participation and different schemes - workers participation in Management practices in USA, UK, Germany, China and Japan.

**Chapter 8: Prevention of Sexual harassment at workplace:** Meaning and significance, Supreme court verdict in Vishaka Versus State of Rajasthan case, Employer responsibility to create harassment free work place, Training of employees for good behavior, Modifying service rules to include sexual harassment as misconduct. Punishing the perpetrator and protecting the victim.

- 1. Singh P.N. and Neeraj Kumar- Employee Relations Management Pearson Education, Noida,.
- 2. Charles Myers-Industrial Relations in India, 2<sup>nd</sup>edn Asia Publishing House, London.
- 3. VermaPramod -Management of Industrial Relations Oxford and IBH.
- 4. Mamoria, Mamoria and Gankar -Dynamics Of Industrial Relations-Himalaya Publishing House.
- 5. ArunMonappa- Industrial Relations, TataMc.GrawHill- New Delhi.
- 6. Sinha P.R.N, Sinha I.B and Shekhar S.P-Industrial Relations- Pearson Education.
- 7. Srivastava S.C-Industrial Relations and Labour Laws, 5<sup>th</sup>edn-Vikas Publishing House.
- 8. Singh B.D-Industrial Relations and Labour Law- Excel Books.
- 9. Sarma A.M-Industrial Jurisprudence and Labour Legislation, 5<sup>th</sup>edn Himalaya Publishing House.
- 10. Davar.R.S- Personnel Management and Industrial Relations, 10<sup>th</sup>edn -Vikas Publishing House.
- 11. Kapoor N.D- Hand Book of Industrial Law Sultan Chand and Sons, New Delhi.
- 12. Venkataratnam C.S- Industrial Relations Oxford University Press, New Delhi.

#### **MBAS 512: Stress Management**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : The objectives of the course is to provide the causes for stress

and the techniques of handling stress.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

Chapter 1: Understanding the Nature of Stress: The Meaning of Stress. The Body's Reactions to Stress. Sources of Stress: Across the Lifespan. Adaptive and Maladaptive Behaviour. Individual and Cultural Differences, Stressors unique to Women. Emotional aspects of stress: Emotions; The Emotional Basis of Stress;

Chapter 2: Strategies of Stress Management and Prevention: Challenging Stressful Thinking. Problem Solving and Time Management. Psychological and Spiritual Relaxation Methods. Physical Methods of Stress Reduction.

Chapter 3: Preparing for the Future: College and Occupational Stress. Care of the Self: Nutrition and Other Lifestyle Issues. Stress and Conflict in Relationships

Chapter 4: Strategies of Synthesis and Prevention: Resilience and Stress. Optimal Functioning. Making Changes Last, Small Changes and Large Rewards

Chapter 5: Stress in the Home, Overcoming Monetary Stress and Unhealthy Worry, Stress and the Workplace, Dealing With Frustration and Anger. Coping Strategies and Interventions; Management Standards and Management Competencies.

Chapter 6: Stress and Performance and Stress Intervention – Interpersonal, The relationship between stress and performance, Stress intervention – interpersonal, Time management, Relaxation technique – progressive relaxation technique

Chapter 7: Cognitive aspects of stress: Stress and Cognition; Psychological effects of stress; Stress and Memory; Stress and Other Cognitive Variables; Stressful environmental conditions on cognitive performance.

Chapter 8: Stress Reducing Exercises.

- **1.** Conquer Your Stress by C.L. Cooper, S. Palmer. Publisher: Universities Press (2000)
- **2.** Brilliant Stress Management: How to Manage Stress in Any Situation by Mike Clayton. Publisher: FT Press (2011)
- **3.** The 7 Laws of Stress Management by Anthony D. Parnell Publisher: Indra Publishing House (2009)
- **4.** Stress Management by Prof. P. K. Dutta, Publisher: Himalaya Publishing House (2010)
- 5. Sumita Roy, Managing Stress, Sterling Publishers, (2012).
- **6.** Barlow, Rapee, and Perini, 10 Steps to Mastering Stress: A Lifestyle Approach, Updated Edition, (2014), OUP USA

#### MBAS 513: PRINCIPLES AND PRACTICE OF BANKING AND INSURANCE

Workload : 04 hours per week

Examination : 03 hours - 70 marks

Objectives : This course aims at introducing the principles and practices

follow by banking and insurance sector to the students.

Pedagogy : Lectures, assignments, practical exercises, discussions,

seminars

**Chapter 1: Banking system and structure in India** – Types of banks in operation – Role of Reserve Bank and GOI as regulator of banking system – Provisions of Banking Regulation Act and Reserve Bank of India Act.

**Chapter 2: The terms banker and customer** – types of relationship between banker and customer – Bankers obligations to customers – Right to lean, setoff, appropriation – Provisions of Negotiable Instrument Act, 1881 – Bankers legal duty of disclosure and related matters.

Chapter 3: Customer's accounts with banks- opening – operation – KYC norms and operation – Types of account and customers – nomination – settlement of death claims – Banking Technology – Home banking – ATMs- Internet banking- mobile banking-Core banking solutions – Debit, Credit and Smart cards – EFD – RTGS.

**Chapter 4: Banker as lender** – Types of loans - Overdraft facilities – discounting of bills – Financing book dates and supply bills – Charging of Security bills – pledge – mortgage – assignment; Cases.

**Chapter 5: International banking** – Exchange rates - Documentary letter of credit – financing exporters and importers – ECGC Policies and guarantees; and Cases.

Chapter 6: Introduction to Insurance – Insurable Interest – Human Life value concept – Elements of Insurance Risk – Principles of indemnity – Principles of Utmost good faith – Principle of Subrogation – Insurable Interest – Proximate Clause – Players in Life and Non-Life Insurance sector – Insurance documents – Procedure for issue – Alterations – duplicate policy – Nomination – Assignment – Lapse and revivals – Assignment – Foreclosure.

**Chapter 7: Types of Insurance** – Life Insurance and General Insurance Products including unit linked plans – Re- Insurance – Nature of Re Insurance risk – Types of Re-insurance- Reinsurance agreements – legal frame work of life and general insurance.

**Chapter 8: Underwriting** (Process and Principles) – underwriting in Life Insurance – Underwriting in Nonlife insurance Banc assurance – concepts – critical issues – functional aspects – Indian scenario – Future prospects – Insurance Accounting – Financial Analysis and valuations –Solvency and performance measures.

- 1. Gupta P K -Fundamentals of Insurance Himalaya Publishing House.
- 2. IIBF -Principles and Practice of Banking 2/e, Macmillan, New Delhi.
- 3. Marrison.C-The Fundamentals of Risk Measurement –Tata Mcgraw Hill.
- 4. Dr.P.Subba Rao &Dr.P.K. Kanna- Principles and Practice of Bank Management-Himalaya Publishing House.
- 5. W F Gephart Principles of Life Insurance Mac Millan
- 6. K C Shekhar Banking Theory and Practice Vikas Publishing house PVT Ltd.
- 7. Shekhar KC, ShekharLekshmy Banking Theory and practices Vikas Publishing House, New Delhi.
- 8. Machiraju HR- Indian Financial System- Vikas Publishing House, New Delhi, 4<sup>th</sup>Edn.
- 9. Monika Mittal and Reena Matta, Insurance Management, 2<sup>nd</sup> Edition 2016, Kalyani Books.

#### MBAS 514: BANKING AND INSURANCE PRODUCTS

Workload : 04 hours per week

Examination : 03 hours - 70 marks

Objectives : This course aims at introducing the types of banking and

insurance products and its applications to the students.

Pedagogy : Lectures, assignments, practical exercises, discussions,

seminars

**Chapter 1: Deposit Products** – Savings Bank – Current Account – Demand deposits – Term Deposits – Concepts of low cost deposits – Certificate of deposit – Bulk deposits – Costing of Deposits.

**Chapter 2: Remittance Products**: Demand draft – Travelers' Cheques – Gift Cheque – Mail Transfer – Telegraphic Transfer – Multicity cheques – EFD – RTGS.

**Chapter 3: IT Products: MICR Cheques** – Channel Banking – Core Banking – Internet Banking Mobile Banking – ATMS – Debit card – Credit card – RTGS – EFD – Cases.

**Chapter 4: Loan Products:** Short term loans - Long term loans - Consumer loans - Education loans - Housing loans - Business loans - Farm loans - Kisan Credit cards - Corporate loans - Syndication - Micro Finance - Cases.

Chapter 5: Life Insurance Products: Types of insurance policies - Features of term insurance – Features of Endowment Assurance – Role of Term and Endowment in product designing – Types of Life Insurance policies – Annuity & pension policies – Whole life policies – Money back policies – Market-Linked Insurance products, Riders, Pension Products, Marketing of Life Insurance – Cases.

**Chapter 6: Health Insurance Products:** Individual Medical expense Insurance – Long term care coverage – Disability Income Insurance – Mediclaim Policy – Group Mediclaim Policy – Personal Accident policy.

**Chapter 7: Fire Insurance** – Fundamental principles – Fire insurance Contracts - Proposals Fire insurance proposals – Special Coverages – Fire Insurance Claims-Cases.

**Chapter 8: Motor Vehicles Insurance:** Need – Types – Factors to be considered for premium fixing – Motor Insurance claims- Cases.

**Chapter 9: Miscellaneous Products:** Burglary – Jeweller's Block Insurance – Baggage Insurance – Banker's Indemnity Insurance – Aviation Insurance – Fidelity guarantee insurance – Public liability insurance.

- 1. IIBF -Principles and practices of Banking –, 2/e, Macmillan, 2011.
- 2. Jyothsna Sethi and Nishwan Bhatia, Elements of Banking and Insurance PHI.
- 3. Handbook on Insurance I.R.D.A Publication, New Delhi.

- 4. Ben G. Baldwin, The Complete Book of Insurance: The Consumer's Guide to Insuring Your Life, Health, Property, and Income, Irwin Professional Publishing; Revised edition (December 1995)
- 5. Loomba Jatinder, Risk Management and Insurance Planning, PHI
- 6. Uma Narang, Insurance Industry in India, Edition 2013, New Century Publication
- 7. Indian Institute of Banking and Finance (IIBF), Banking Products and Services, Taxman Publications Private Limited (2010)
- 8. Indian Institute of Banking and Finance (IIBF), Insurance Products (Including Pension Products) Taxman Publications Private Limited 2015
- 9. M N Gopinath, Banking Principles and Operations, Snow-white; 6th Edition 2016

#### **MBAS 515: International Banking**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : To provide an overview of international banking scenario,

various international financial institutions and the framework of

international finance market.

Pedagogy: Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1:** Evolution of international banking: International banking: A Functional Overview –Banking for financing of exports and imports of goods and services. International payments system.

**Chapter 2:** International capital markets-financial market flows beyond national boundaries, Debt and non-debt flows; volatile and stable flows; interest rate differentials and their role in demand for and supply of funds across borders.

**Chapter 3:** Offshore banking centres and their role international financing, Global Balance sheet of banks. Asset and liability managements of foreign banks

Chapter 4: International lending, policies and practices, transaction cost and risk in international lending. Profitability of international banking. Loans to foreign corporation, syndicated loans; financing foreign government s. Issues of information symmetries and adverse selection. Regulatory and supervisory aspects .Trends in international banking in India

**Chapter 5:** Foreign exchange risks; the exchange rate swings and their effects on bank's net worth.

**Chapter 6:** International rating agencies. Country risk. International financial stability and the central role of banks in financial stability.

**Chapter 7:** International Financial Institutions: Word Bank, IMF, BIS, Regional Development Banks, New Development Bank.

**Chapter 8:** Issues relating to international financial architecture.

- 1. Rupnarayan Bose, Fundamentals of International Banking, Laxmi Publications (2007)
- 2. World bank, IMF ADB, BRICS Bank websites.
- 3. IIBF (Indian Institute of Banking and Finance), International Banking, Macmillan.
- 4. Jane Hughes and Scott MacDonald, International Banking: Text and Cases, 1<sup>st</sup> Edition, Pearson.
- 5. Lees Francis A, International Banking and Finance. Palgrave Macmillan
- 6. Indian Institute of Banking & Finance (IIBF), International BANKING Legal and Regulatory Aspects, Jain Book Agencies.

#### **MBAS 516: HOSPITAL SERVICES MANAGEMENT**

Workload : 4 hours per week – Total credits 4

Examination : 3 hours 70 marks

Objectives : To make the students familiar with the various services of the

OPD, Radiology, Diagnostic and other specialty services offered

by the hospital and risk management practices.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Introduction** Evolution of Hospital Services, Features, objectives importance, service quality concept and its significance, recent development and present conditions of health care services in India.

**Chapter 2: Clinical Services:** Outpatient services, Day care, Accident and emergency services, impatient Dept. operation theatre, intensive care, PMR service.

**Chapter 3: Speciality Service:** Pediatrics, OBG, GYN, ENT, Ophthalmology, Orthopedic, Psychiatry, Anesthesia Dental, Superspeciality, Neurology, Thoracic Surgery, Neurology, Nephrology, Dialysis Unit, Transplantation Survey Dermatology.

**Chapter 4: Diagnostic and Therapeutic Services:** Laboratory Services Radiology, Pharmacy – Blood Bank and Transfusion.

**Chapter 5: Supportive and Utility Services:** Dietary Services, Linen and Laundry Pharmacy – Mortuary – Ambulance. Marketing and public relations, Housekeeping – Biomedical Department, Purchase Department, Engineering and maintenance Medical Records.

Chapter 6: Risk Management: Hospital Waste Management, Nosocomial Infections – Disaster Management. Hospital Security Services – Occupational Safety in Hospitals.

- **1.** Sakharkar B M-Principles of hospital administration and Planning-Jaypee Publications.
- 2. Goel S.L. & Kumar R. Hospital Core Services- Deep & Deep Publications Pvt. Ltd.
- 3. Kunders G.D. -Hospitals facilities planning and management- Tata McGraw Hill publishing Co. Ltd.

#### MBAS 517: HOSPITAL PLANNING AND ADMINISTRATION

Workload : 4 hours per week – Total credits 4

Examination : 3 hours 70 marks

Objectives : The objective of the course is to provide understanding about the

uniqueness of hospital organization, structure, subsystem related to total system, multi- disciplinary and multi-dimensional aspects of health care, Planning and designing of various services and safety measures, applications of computers for the evaluation of hospital

services

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: History and Development of Hospitals:** Definition Classification role and functions. Hospitals in India today – Hospital as a system.

Chapter 2: Planning of Hospitals: Promoting and building – new hospital- Planning the hospitals – Planning for a new hospital – guiding principles in planning hospital facilities and services Preliminary Survey – Financial Planning – Equipment Planning – Permanent Hospital Organization – Planning Early Consideration – Operational Planning and Functioning Planning. Facility Master Plan – Design Team – Design Development Stage – Plan Stage – Planning the hospital building. Building contract and contract document – furnishing and equipping the hospital – purchase of hospital equipment ready to operate stage. Before opening the hospital commission and inauguration.

**Chapter 3: Organization of the hospital:** Overview – organization structure – Management structure – organization charts.

**Chapter 4: Management of the hospital:** Introduction – Two lines of authority in hospital – professional management of the hospital – orientation training and development, getting the hospital to be in readiness - To operate professionally.

#### **Chapter 5: Planning and Designing Administrative Services:**

- a. Introduction
- b. Executive suite
- c. Financial Management Unit
- d. Hospital Information system
- e. Nursing service Administrative unit
- f. Human Resource Management
- g. Public Relations
- h. Marketing.

Chapter 6: Planning and Designing Medical and Ancillary Services: Outpatient services – Emerging Services – Clinical Laboratories – Radiological – Radiation therapy department – Nuclear medicine – surgical department – Labour and Delivery suit- Physical therapy – Recreational therapy speech and hearing therapy – cardiac catheterization laboratory (CATH LAB).

**Chapter 7:** General Nursing Unit – Pediatric Nursing unit – Obstetrical Nursing Unit – Psychiatric Nursing – Isolation Room – Intensive care unit –coronary care unit (ICU CCU). New born nurseries.

Chapter 8: Planning and Designing Supportive services: Admitting Department – Medical Record Department – Central sterilization and supply Department – Pharmacy – Material Management. Food Services Department Laundry and Linen Department- Housekeeping – volunteer department.

#### **Chapter 9: Planning and Designing Public area and Staff facilities.**

Chapter 10: Planning and designing Hospital Services: Factors impacting on the service engineering Department – Maintenance Department – Clinical engineering (Biomedical) Electrical system, Air conditioning system – water supply and sanitary system – centralized medical gas system – communication system – environmental control – solid waste management – transportation.

**Chapter 11: Safety and security in Hospitals:** Safety in hospital – security and loss prevention system – fire safety – bomb threat – Alarm system – desatrol and desastrol preparedness plan.

- 1. G.D. Kunders-Hospitals Facilities Planning and Management-TMH.
- 2. Shakthi Kumar Gupta, Sunil Kant, R. Chandra shekhar, SidharthaSatpathy-Modern Trends in Planning and Designing Hospital Principles and Practice-Jaypee.
- 3. Davies Llewellyn R. & Macaulay HM Hospital Planning and Administration-Jaypee Brothers, New Delhi.
- 4. Sakharkar B.M. Principles of Hospital Administration and Planning -Jaypee publishers, New Delhi.

## **MBAS 518: National Health Plan and Health Economics**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : To provide knowledge and to create awareness about national

health plans and heath economics.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

## **National Health Plan**

## Chapter 1.

National Health Policy; Relevant policies in health sector; National Five-Year Plans; National Rural Health Mission; National Urban Health Mission;

## Chapter 2.

National Health Programmes like Reproductive and Child Health (Phase II) Programme, National Vector Borne Disease Control Programme, Revised National Tuberculosis Control Programme, National Leprosy Eradication Programme, National Tobacco Control Programme, Integrated Disease Surveillance Project, National Programme for Prevention & Control of Cancer, Diabetes, Cardio-Vascular Diseases and Stroke etc.

## Chapter 3.

Innovative health schemes: Janani Suraksha Yojna, Rashtriya Swasthya Bima Yojna etc.

#### **Health Economics**

### Chapter 4.

Understanding of Macro-economic environment for making Decision in the Organizations that provide health care;

# Chapter 5

Understanding of different concepts and tools that are used in economics for understanding their applicability in the health care sector;

## Chapter 6

Understanding demand for health capital and health care; Cost analysis for organizations that supply health care and cost functions; Economics analysis for profit and non-profit health services providers; Location decision for better health delivery;

### Chapter 7

Economics of health policy and planning; Pricing of health services and health insurance; Scope for public-private participation in health care and its modalities.

- 1. Kishore, J. (2011). National health programs of India: national policies & legislations related to health (9th ed.). Century Publication.
- 2. MoH & FW, GoI .(2005). National Rural Health Mission Framework for Implementation (2005-2012).
- 3. MoH & FW, GoI .(2010). National Rural Health Mission The Journey So Far, 2005-2010
- 4. MoH & FW, GoI .(2010). National Urban Health Mission Framework for Implementation.

- 5. MoH & FW, GoI. (2011). Annual Report, 2010-2011.
- 6. MoH & FW, GoI and DFID. (2009). Directory of Innovations Implemented in the Health Sector.
- 7. MoH & FW, GoI and WHO Country Office for India. (2009). Health Committee and Commission Reports, 1946 to 2005.
- 8. MoH & FW, GoI. (2005). National Rural Health Mission (2005-2012) Mission Document.
- 9. Park, K. (2011). Park's Textbook of Preventive & Social Medicine (21st ed.). M/S Banarsidas Bhanot.
- 10. Planning Commission of India: Faster, Sustainable and More Inclusive Growth: An Approach to the Twelfth Five Year Plan (2012-17).
- 11. Planning Commission of India: High Level Expert Group Report on Universal Health Coverage for India (2011).
- 12. Taneja, D. K. (2011). Health Policies and Programmes in India (9th ed.). Doctors Publications.
- 13. Culyer, A. J. (2000). Newhouse Handbook of Health Economics. Vol.1 and II. Amsterdam: Elsevier.
- 14. Folland, S. et. Al. (2000). Economics of Health and Health Care. New York: Prentice Hall.
- 15. Henderson, James. W. (2011). Health Economics and Policy (3rd ed.). South-Western: Education Publishing.
- 16. Philips, Jacob., & Rapoport, John .(2003). Economics of Health and Medical Care (5th ed.). Jones & Bartlett publishers.
- 17. Todd, Maria .(2009). The Managed Care Contracting Handbooks (2nd ed.). Productivity press.
- 18. Venkat Raman, A., & Bjorkman, J. W. (2009). Public Private Partnership in Health Care in India. London: Rutledge.

### **MBAH 551: STRATEGIC MANAGEMENT**

Workload : 04 hours per week – Total credits: 04

Examination : 03 Hours. 70 marks

Objectives : To acquaint the students with top level management strategies vis-à-vis

environmental analysis and internal resource inventory.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Overview of Strategic Management**- Meaning of strategy - An architecture of strategy - Nature and value of strategic management - Responsibility towards multiple stakeholders - strategic management process.

**Chapter 2: Strategic Analysis**-Environmental Forecasting- Importance of forecasting- Forecasting techniques. Analysis of internal Environment - internal environmental factors - Resource based view of the firm- assessing internal strength and weaknesses- (SWOT Analysis)

**Chapter 3: Strategic Analysis**- Analysis of external Environment - Factors in external environment-structural analysis of industries - Generic competitive strategies- Frame work for competitive analysis.

**Chapter 4: Strategy Formulation** - Hierarchy of static intent, vision, mission, goals, plans- strategic programming - organisational appraisal.

**Chapter 5: Operational level strategy** - Approaches to managing at operational level - Role of TQM and CPR - Three primary care processes.

**Chapter 6: Business level strategy** - Elements of competitive strategy - types of tactics - defining the business - competitive advantage across market life cycle.

**Chapter 7: Corporate level strategy** - Role of diversification - Forms of diversification - means of diversification - Benefits of diversification - limiting factors of benefits of diversification.

**Chapter 8: International level strategy** - worldwide perspective - Strategic considerations for MNC's (IBE) - International strategy and competitive advantage.

**Chapter 9: Strategy implementation** — Activating the strategy, structural implementation, behavioural implementation, functional and operational implementation. Strategic evaluation and control.

**Chapter 10: Governance and Ethics** - Governance and Ethical issues in strategic management- corporate governance, stakeholder power, corporate social responsibility, business ethics, managing strategic change.

- 1. Miller Alex- Strategic Management- McGraw Hill
- 2. Pearce John A and Robinson Richard B-Strategic Management-McGraw Hill.

- 3. Jauch Lawrence R and Glueck William F- Strategic Management and Business Policy- McGraw Hill
- 4. Porter Micheal E.-Competitive Strategy- Free Press Publication
- 5. Daniel J. McCarthy, Robert J. Minichiello, Joseph R. Curran-Business policy and strategy-Richard D Irwin Inc, All India Traveller Bookseller.
- 6. Bourgeois III L J et.al-Strategic Management- Hardeourt Brace Co.,
- 7. AzharKazmi-Business Policy and Strategic Management -Tata McGraw Hill
- 8. KachruUpendra.-Strategic Management concepts and case-Excel Books.
- 9. Chandan JS, Gupta NS- Strategic Management- Vikas Publishing House, New Delhi.
- 10. Nag A- Strategic Management : Analysis, Implementation and control Vikas Publishing House, New Delhi.
- 11. Lomash S, Mishra PK- Business policy and Strategic Management- Vikas Publishing House, New Delhi.

# **MBAH 552: Project Report**

A student in the third semester shall register for a Project Work which carries 4 credits in the fourth semester. Work load for Project Work is one contact hour per student per week for the teacher. The student shall do field work and library work in the remaining 3 credit hours per week.

### **MBAS 553: FINANCIAL SERVICES**

Work load : 4 hours per week - Total credits: 4

Examination : 3 hours 70 marks

Objectives. : To offer Specialised knowledge in existing and emerging areas of

merchant banking financial services..

Pedagogy. : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Financial System:** functions of a financial system, components of financial systems, Structure of a financial system. Problems of Indian financial system.

**Chapter 2: Merchant Banking** - historical perspective - nature of services provided by Merchant bankers - structure of merchant banking firm - setting up and managing a merchant banking SEBI regulations on merchant banks.

**Chapter 3: Financial Markets** -capital markets -primary markets -methods of raising funds from primary market -public issue - SEBI guidelines for primary issue and relevant t company law provisions to primary issue -secondary market operations - internet trading (introduction to the concept ) -global markets -issue of American depository receipts and global depositary receipts- guidelines on their issue -emerging trends .

**Chapter 4: Financial services**: underwriting -stock broking depositories. Consumer finance -car financing -financing of consumer durables and other services provided by financial service companies.

**Chapter 5: Credit rating:** approaches and process of rating- credit rating agencies-CRISIL and ICRA, CARE ratings for financial instruments-methodology of rating, rating of companies based on acceptable ratios (Altman's z-score ratios).

**Chapter 6: Treasury Management Services**: Treasury as an independent profit center - investment of surplus funds - money market operations - treasury bills, commercial bills, commercial papers, certificate of deposits, Gilt edged securities markets.

**Chapter 7: Leasing:** Concept and classification of leasing - present legislative frame work of leasing - lease evaluation (lessors & lessee's point of view) - tax aspects of leasing.

**Chapter 8: Hire Purchase**: Introduction -Concepts and characteristics - legal aspects and tax implications-financial evaluation

**Chapter 9: Central and Commercial Banking**: definitions- functions - nationalization of banks -RBI-functions and working

**Chapter 10: Insurance** -role of insurance in financial framework - General insurance -life insurance -marine insurance -medical insurance and others, recent trends in insurance industry, impact of privitisation on insurance industry.

- 1. L.M.Bhole-Financial Institutions and Market-TataMcGraw-Hill Publishing company Ltd.
- 2. Sharpe, Alexander and Bailey Investments Pearson
- 3. I Friend M Blume, J Crocket.-Mutual funds and other institutional investors McGraw Hill.
- 4. Sanjay Lal and Paul Streeten.- Foreign investments, Transnationals and Developing Countries- Macmillan Press
- 5. N. J Yasaswy -Personal Investment and tax planning- Vision Books, New Delhi.
- 6. Suneja-Banking-Suneja Publishers.
- 7. Shekar and Shekar Banking Theory and practice Vikas Publishing House.
- 8. Julia Holyoake ,William Weipers Insurance 4<sup>th</sup> Edition Financial World Publishing
- 9. M Y Khan- Financial Services-Tata McGraw-Hill Publishing Company Ltd.

- 10. Gordon and Natarajan -Fiancial Markets and Services HPH
- 11. Indian institute of banking and Finance, Principles Practices of Banking MacMillan.
- 12. Indian institute of banking and Finance, Legal and Regulatory Aspects of Banking Mac Millan.
- 13. Pandian P Financial Services and Markets Vikas Publishing House, New Delhi.
- 14. Shashi K Gupta and Nisha Aggarwal, Financial Services, 5<sup>th</sup> Revised Edition, 2014, Kalyani Books.

### MBAS 554: INTERNATIONAL FINANCIAL MANAGEMENT

Workload : 4 hours per week - Total credits: 4

Examination : 3 hours 70 marks

Objective : To give a specialised knowledge of significance of Foreign exchange

and its relevance to survival of Companies in international markets

Pedagogy : Lectures, discussion, seminars, assignments.

**Chapter 1: International economics and international finance** - Interdependence of national economics - competitive advantage.

Chapter 2: International Monetary System: Meaning; Evolution of International Monitory system – Bimetallism, Classical gold standard, Interwar Period, Bretton Woods System of exchange rate, exchange rate regime since 1973, International Liquidity IMF, solution for financial crisis – major financial crisis.

**Chapter 3: International Flow of Funds:** Capital flows and flight - International liquidity - external debt and equity financing. Meaning of Balance of payments – components of BOP, Significance of BOP to the multinational corporation, trends of Indian Balance of payments, Role of IMF in BOP crisis.

Chapter 4: International financial Markets and Instruments - International capital and money markets. Arbitrage opportunities - integration of markets - International capital and money market instruments GDRs, ADRs, Euro-Bonds, CPs, FRNs, Euro deposits, Eurocurrency markets.

**Chapter 5: Financing of foreign trade** - managing international trade transactions - pre and post shipment credit, LCs,UCPDC INCO TERMS- cash in advance and consignment sales - Bankers acceptances.

**Chapter 6: Foreign Exchange Market**: Structure and market infrastructure, major participants, Spot market, quotations, cross rates, currency arbitrage, forward market, forward quotations, relationship between forward rate and future spot rate, Forecasting exchange rates. determinants of exchange rates, Exchange rate behavior, Law of one price, purchasing power parity, interest rate parity, Fisher effect, International Fischer effect – implications.

**Chapter 7: The Indian Forex markets**: Overview of the Foreign Exchange Management Act-Convertibility of rupee -exchange control - Indian exports & imports -policies -practices -and documentation.

**Chapter 8: Measuring Exposure to Exchange rate fluctuations:** The investor hedge argument, Currency diversification argument, Stakeholder diversification argument. Types of exposure – Transaction exposure, economic exposure, translation exposure.

Chapter 9: Managing Transaction Exposure: Hedging exposure to payables and receivables – Forward or Futures Hedge, Money Market Hedge, Options Hedge; Limitations of Hedging, Hedging for Long Term forward contracts – parallel loan; alternative hedging techniques – leading and lagging, cross hedging, currency diversifications.

**Chapter 10: Managing Economic exposure and Translation exposure:** Translation methods; current / noncurrent, monetary / non monetary, current rate methods. Economic exposure- How to measure economic exposure, determinants of operating exposure, managing operating exposure.

Chapter 11: Interest rate derivatives and SWAPS: Interest rate swaps, currency swaps, interest rate forwards futures, structured notes.

**Chapter 12: Country Risk Analysis:** Significance of country risk analysis - political and financial risk, assessment of risk factors, country risk ratings, techniques to assess country risk, measuring country risk, preventing host government takeovers.

Chapter 13: Managing short term assets and Managing working capital in a multinational firm: Concept of Working Capital. Cash, receivables and inventory – short term asset financing, centralized V/S decentralized cash management, bilateral and multilateral netting of internal and external net cash flow. Management of receivables, management of inventory, Short term financing.

Chapter 14: Financing Decisions by MNCs and long term investment appraisal: International investment decisions: Cost of capital for international investments – cost of equity capital, Cost of debt, Weighted average cost of capital, Discount rates for foreign investments, capital budgeting in MNCs–NPV, APV methods.

Chapter 15: Transfer pricing & divisional performance analysis transfer pricing – low mark up and high markup policy, Methods for establishing an arm's length pricing.

- 1. Shapiro C. Alan Multinational Financial Management-Wiley India Pvt. Ltd.
- 2. Maurice D. Levi .International Finance-Routledge.
- 3. K. S. Sharma- Institutional Structures of Capital Markets in India-Writers and Publishers, Corp.; sole distributors: Sterling Publishers, Delhi.
- 4. Rodriguez & Carter. International Financial Management-Prentice Hall.
- 5. Keith Pilbeam. International Finance Palgrave Macmillan.
- 6. V.A.Avadhani. Marketing of Financial Services and Market- Himalaya Publishing House.
- 7. V.A.Avadhani- International Financial Management- Himalaya Publishing House
- 8. P G Apte International Financial Mangement -Tata McGraw Hill Publishing Company Ltd.
- 9. VyuptakeshSharan International Financial management-Prentice Hall India.
- 10. Jeff Madura International Financial Management- Cengage Learning India Pvt. Ltd., New Delhi.
- 11. UCPDC- ICC Publications
- 12. Joseph Daniels & David Vanhoose- International Monetary and financial Economics
- 13. H R Machiraju International Financial Markets and India -New Age International (P) Limited Publishers
- 14. David K Eiteman, Arthur I. Stonehill& Michael H. Moffett Multinational Business Finance-Addison Wesley Longman Pte. Ltd.
- 15. MadhuVij-International Financial Management-Excel Books, New Delhi.
- 16. Kevin S-Fundamentals of International Financial Management-Prentice Hall India.
- 17. Dun and Broadstreet- Foreign exchange Markets- Tata McGraw Hill Publishing Company Ltd.
- 18. Bekartgeert and drick J. Robert-International financial Managemen- PHI Learning Pvt. Ltd., New Delhi.
- 19. Rajwade A.V.-Cash & Derivatives markets in foreign exchange-Tata McGraw Hill education Pvt. Ltd., New Delhi.

- 20. Eiteman K. David et al- Multinational Business finance-Dorling Kindersley India Pvt. Ltd., New Delhi.
- 21. Eun School and Resnick Bruce-International Financial Management- Tata McGraw hill publishing company Ltd., New Delhi.
- 22. Madura -International Corporate finance- cengage learning India Pvt. Ltd., New Delhi.
- 23. JanakiramanSundram, -Derivatives and Risk Management- Dorling Kindersley India Pvt. Ltd.
- 24. Somanath V S -International Financial Management- I.K. International Publishing House Pvt. Ltd., New Delhi.
- 25. C. Jeevanandan- Foreign Exchange and Risk Management-, Sultan Chand and Sons, New Delhi .
- 26. Francis Chenmilam International Business- Prentice Hall India

# **MBAS 555: Corporate Financial Restructuring**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives :To provide an in-depth understanding of all aspects and

intricacies of law and practical issues affecting and arising out of

corporate restructuring

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

**Chapter 1: Introduction:** Meaning of corporate restructuring, need, scope and modes of restructuring, historical background, global scenario, national scenario. Strategic planning, competitive advantage and core competence, strategy formulation, routes for executing strategy – start up, mergers, acquisitions, takeovers, disinvestments and strategic alliances.

Chapter 2: Mergers and Amalgamation: Need and reasons, legal aspects, procedural aspects relating to commencing of meetings and presentation of petition including documentation, economic aspects including effect on the interest of small investors; accounting aspects, financial aspects including valuation of shares, taxation aspects, stamp duty and allied matters, filing of various forms.

**Chapter 3: Takeovers:** Types of takeovers, legal aspects - SEBI takeover regulations, procedural aspects, economic aspects, financial aspects, accounting aspects, taxation aspects, stamp duty and allied matters, payment of consideration, bail out takeovers, takeover of sick units.

Chapter 4: Revival and Restructuring of Sick Companies: The problem of sick industries and their revival with special reference to the law relating to sick industrial companies.

Chapter 5: Funding of Mergers and Takeovers: Financial alternatives, merits and demerits, funding through various types of financial instruments including preference shares, non-voting shares, buy-back of shares, hybrids, options and securities with differential rights, employer stock options and securities with differential rights, takeover finance, ECBs, funding through financial institutions and banks, rehabilitation finance, management buyouts.

**Chapter 6: Corporate Demergers/Splits and Divisions:** Difference between demerger and reconstruction; modes of demerger - by agreement, under scheme of arrangement, by voluntary winding up; tax aspects, tax reliefs, Indian scenario, reverse mergers.

**Chapter 7: Post-Merger Re-organisation:** Accomplishment of objectives - criteria of success, profitability, gains to shareholders; post-merger valuation; measuring post-merger efficiency; factors in post-merger reorganisation.

**Chapter 8: Financial Restructuring:** Buy-back of shares – concept and necessity; SEBI guidelines; Government's guidelines, procedure and practice for buy-back of shares.

- 1. J.M Thakur, Takeover of Companies, Snow White Publications Pvt, Ltd;
- 2. V.K Kaushal, Corporate Takeovers in India, Sarup & Sons, New Delhi
- 3. Dr. J.C. Varma, Corporate Merger and Takeovers, Bharat Publishing House
- 4. L.M .Sharma, Acquisition, Amalgamation, Merger Takeovers, Company Law Journal, New Delhi

- 5. ICSI Publication, Handbook on mergers and Amalgamation, ICSI Publication
- 6. Galpin Timothy J. Mark Headim, Complete Guide to Merger and Acquisition, Jossey Bases Publisher
- 7. Gaugman Partick Ed, Readings in Mergers and Acquisitions, Black Wall
- 8. P.S. Sudarsan, the Essence of Mergers & Acquisition, PHI
- 9. Prakash B Yaragol and Basavaraj C S, 1<sup>st</sup> Edition, 2015, Kalyani Books.

# MBAS 556: INTERNATIONAL MARKETING MANAGEMENT

Workload : 4 hours per week - Total credits : 4

Examination : 3 hours 70 marks

Objectives : To give an in depth analysis of international marketing, its opportunities and

promotional policies of the governments to augment trade.

Pedagogy : Lectures, assignments, discussions, seminars, case analysis.

Chapter 1: International Marketing: Scope, need and importance. International marketing environment. Export Barriers: Tariff and non-tariff. Kinds of tariffs, measuring the effect of tariffs and non-tariffs, foreign exchange control (recent trends).

Chapter 2: Export Procedure and Documentation: Processing of an export order. Principal documents- invoices, LIC, Certificate of origin, SIB, Bill of exchange, Mate receipt, Documents for claiming export assistance, Duty draw back bill, Av 4 form.

**Chapter 3: Export Product Planning and Policy**: Product planning and development, product strategies, product positioning, product standardisation V/s adaptation, factors affecting standardisation of product line, brand policy, product adaptation strategies, planning for overseas market

Chapter 4: Export Pricing (pricing in international marketing): Export pricing and terms of trade, pricing policies, pricing strategies. Methods of payment.

**Chapter 5: Distribution**: Distribution channels - and overview, direct V/s indirect export, forms of direct exporting: Built-in export department, self contained export department, separate export company, combination of export manager, joint marketing groups indirect exporting. Merchant exporters - visiting, resident buyers. Distribution logistics. Role of multinationals in International marketing - Joint ventures and turn-key projects

Chapter 6: Export Promotion: Export promotion (E.P.) measure, institutional arrangement to promote India's exports. Export promotion councils, commodity boards, trade development authority, federation of Indian export organisation(FIEO), export processing zones, Indian institute of foreign trade, export inspection council, state trading corporation of India (STC), export houses, trading houses, star trading houses, super star trading houses.

**Chapter 7: Export Financing**: Role of commercial banks, export credit guarantee corporation, export import bank, terms of payment: Payment in advance, open account, documentary bills D/P and D/A, documentary credit under letter of credit.

- 1. T.A.S Balagopal- Export management- Himalaya Publishing House.
- 2. Francis Cherunillam- International Marketing-Himalaya Publishing House.
- 3. Varshney, Bhattacharya International Marketing- Sulthan Chand &sons publication.
- 4. Francis Cherunillam- International Trade and Export Management-Himalaya Publishing House.

- 5. M.Y. Koul- International marketing Anmol Publications.
- 6. B. S. Rathor Export Marketing -Himalaya Publishing House,
- 7. Ken Wainwright- Practical Export Marketing Littlehampton Book Services Ltd.
- 8. RajanSaxen& M C Kapoor -International Marketing Concepts, Techniques and Cases- Tata McGraw hill.
- 9. V.Kripalani -International Marketing Prentice Hall, New Delhi.
- 10. S.A. Chunawalla -Essentials of Export Marketing Himalaya Publishing House.
- 11. Warren Keegan -Global Marketing Management Pearson / PHI.
- 12. Rakesh Mohan Joshi -International Marketing Oxford.
- 13. Johny K. Johannson -Global Marketing TMH.
- 14. Justine Paul- International Marketing: Text & Cases Tata McGraw-Hill Education.
- 15. Rajagopal International Marketing Vikas Publishing House, New Delhi.

## **MBAS 557: SERVICE MARKETING**

Workload : 4 hours per week – Total credits 4

Examination : 3 hours 70 marks

Objectives : To equip the student with the marketing strategies used in the

emerging services sector.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

Chapter 1: Introduction to services: Concepts, Contribution of service sector in the economy, reasons for the growth of services sector, Characteristics of Services, Different service Marketing activities, Categorizing Service Processes, Service Marketing Mix – 7P's of Services Marketing, Concept of service marketing triangle, Marketing challenges in service industry.

Chapter 2: Consumer behavior in services: Search, Experience and Credence property of services, Customer expectation of services, two levels of expectation, Zone of tolerance, Factors influencing customer expectation of services; Customer perception of services - Factors that influence customer perception of service, Service encounters, Customer satisfaction, Strategies for influencing customer perception; The purchase process for services – customer behavior at different points in the service experience; Managing demand and capacity—Lack of inventory capability, Understanding demand patterns, Strategies and Tools for matching capacity and demand, coping with fluctuating demand, Waiting line strategies.

**Chapter 3: Managing Relationships**: Building customer relationship through retention strategies, Relationship marketing, Evaluation of customer relationships, Benefits of customer relationship; Market segmentation - Basis and targeting in services; complaint handling and service recovery.

Chapter 4: Service standards and Managing service quality and productivity – Concept of Service quality, Contrasting quality components in manufacturing and services, capturing the customer's perspective of service quality, generic dimensions customers use to evaluate service quality, The SERVQUAL Scale, The GAP Model, measuring and improving service quality, Tools for analyzing and addressing service quality problems, Defining and measuring productivity, improving service productivity, TQM

Chapter 5: Designing and managing service processes and Planning the service environment - New service development types, stages; blueprinting, steps, identifying failure points, failure proofing, fail-safe methods for service personnel, fail-safe methods for the customer, service process redesign; the customer as coproducer, levels of customer participation, self service technologies, service firms as teachers, Customers as partial employees; the problem of customer misbehavior, addressing the challenge of Jay customers.

Chapter 6: Pricing of services- Pricing Revenue Management and Distribution of services — objectives of pricing, foundations of setting prices- cost based, value based, competition based, Revenue management —meaning, strategies, ethical concerns and perceived fairess of pricing policies, pricing issues, pricing fences. Distribution in the service context, the type of contact: options for service delivery, decisions about place and time, service delivery in cyberspace, role of intermediaries, internationally distributed services.

**Chapter 7: Customer's role in service delivery -** Importance of customer & customer's role in service delivery, Strategies for enhancing Customer participation,

Delivery through intermediaries- Key intermediaries for service delivery, Intermediary control strategies.

Chapter 8: Role of marketing communication and Physical evidence in services: Importance of communication in service marketing, four categories of strategies to match service promises with delivery, Methodology to exceed customer expectation; Types of services capes, Role of services capes, Frame work to understand services capes and their effect on behavior, Guidance for physical evidence strategies.

- 1. Valarie A. Zeithmal& Mary Jo Bitner- Services Marketing TMH.
- 2. Christopher Lovelock- Services Marketing- Pearson Education.
- 3. Kenneth E Clow& David L Kurtz- Services Marketing: Operation, Management, and Strategy-Biztantra.
- 4. Ravi Shankar -Services Marketing: The Indian Perspective- Excel Books.
- 5. RajendraNargundkar-Services Marketing -TMH.
- 6. GovindApte-Services Marketing Oxford.
- 7. Hoffman& Bateson- Services Marketing Cenage Learning.
- 8. Peter Mudie and Angela Pirrie-Services Marketing Elsevier.
- 9. Audrey Gilmore -Services Marketing and Management- Response Books Sage India.
- 10. Jha S. M- Service Marketing HPH.

# **MBAS 558: Rural Marketing**

Workload : 4 hours per week. - Total credits: 4

Examination : 3 hours 70 marks

Objectives : The objective of the course is to provide conceptual

understanding on the Rural Marketing with special reference to Indian context and develop skills required for planning of Rural

Products.

Pedagogy: Lectures, assignments, practical exercises, discussions, seminars.

Chapter 1: Overview of Rural Marketing –Evolution of Rural Marketing in India Definition- Nature –Scope- Characteristics and potentials of Rural Marketing - Importance of Rural Marketing- Socio-Cultural-economic & other environmental factors affecting Rural Marketing-A comparative Analysis of Rural Vs Urban Marketing- Size &Structure of Rural Marketing – Emerging challenges and Opportunities in Rural Marketing.

Chapter 2: Rural Markets & Decisions: Profile of Rural Marketing, Consumer Profile- Rural Market Equilibrium-Classification of Rural Marketing — Regulated - Non Regulated. Marketing Mix- Segmentation- Targeting, Position. Rural Marketing Strategies. Role of Central, State Government and other Institutions in Rural Marketing. Integrated Marketing Communication in Rural Marketing.

**Chapter 3: Product & Distribution**: Product / Service Classification in Rural Marketing - New Product Development, Brand Management in Rural Marketing. Rural Distribution channel management- Managing Physical distribution in Rural Marketing- Fostering Creativity& Innovation in Rural Marketing- - Sales force Management in Rural Marketing.

**Chapter 5: Rural Consumer Behaviour**: Consumer Behaviour Model in Rural Marketing- Rural Marketing Research-Retail & IT models in Rural Marketing-CSR and Marketing Ethics in Rural Marketing- Source of Financing and credit agencies. Advertising & Media Role in Rural Marketing.

Chapter 6 Trends in Rural Marketing: e- Rural Marketing-CRM &e-CRM in Rural Marketing- Advanced Practices in Rural Marketing, Social Marketing-Network Marketing- Green Marketing in Indian and Global Context-Co-operative Marketing-Micro Credit Marketing- Public Private Partnership Model in Rural Marketing, Advancement of Technology in Rural Marketing- Structure of Competition in Rural India.

**Chapter 7:** Rural Marketing Research: Rural Market Research, marketing research Process, Evolution of, rural marketing research, Sources and methods of data collection, Data collection approaches in rural area, Data collection tools for rural market, research tools for rural market. Limitations and challenges in rural marketing research.

- 1. Rural Marketing C G Krishnamacharyulu, Lalitha Ramakrishnan Pearson Education
- 2. Rural Marketing: Indian Perspective By Awadhesh Kumar Singh Satyaprakash pandey New age publishers
- 3. Rural Marketing U.C.Mathur, excel books, 1/e 4. Indian Rural Marketing Rajagopal Rawat Publishers
- 4. Pradeep Kashyap and Siddhartha Raut, The Rural Marketing Book (Paperback) 2005, BIZTANTRA,
- 5. Dogra Balram and Karminder Ghuman, Rural Marketing: Concepts and Practices (Paperback) 2007, Tata McGraw-Hill Publishing Company Ltd.
- 6. Pradeep Kashyap, Rural Marketing (Old Edition) Paperback 2011, 2<sup>nd</sup> Edition, Pearson.
- 7. C.S.G. Krishnamacharyulu and Lalitha Ramakrishnanan, Rural Marketing Text and Cases, 2<sup>nd</sup> Edition, (Paperback) 2010, Pearson Eductation.

### MBAS 559: GLOBAL HUMAN RESOURCE MANAGEMENT

Workload : 04 hours per week Examination : 03 hours & 70 marks

Objective : To expose the students to the concepts, principles and the changes

Occurring in the field of HRM at the national and international levels

with global contemporary business examples.

Pedagogy : Lectures, Assignments, Practical exercises, case studies, seminars,

Role play Game play.

**Chapter 1: Introduction to Global HRM -** nature and scope, domestic versus Global HRM, types of global business, Globalisation and HRM, Impact of national culture on HRM and employee management issues, multi- culturalism, HRM in Cross-Border Mergers & Acquisitions, the Role of HR Departments in MNCs – Competencies for International HR Managers.

**Chapter 2: Theoretical framework** – Approaches to the study of GHRM – Convergence Theory, Marxist theory, cultural approach, Hofstede model and Trompennar Model – Confucian Dynamism - Andre Lawrent, Models of International HRM – Pooles adaptation of the Harvard Model – Brewster and Bournois Model, Organisational structure and design in global organisations.

**Chapter 3: International staffing** – policies and practices, techniques and sources of global recruitment, selection process, global labour market differences, global compensation factors, components, approaches and cultural impact on compensation, global compensation practices and recent trends in global staffing – expatriates failures & their minimization.

**Chapter 4: Global Management Development** – role of expatriate training, predeparture training, development of staff and international assignments, training strategies, HCN & TCN training, repatriate training, emerging trends in training.

**Chapter 5: Performance management** – significance and concerns of performance management, setting individual performance goals, performance variables, performance appraisal for expatriates, feedback, linking rewards and results, challenges in managing performance, country – specific management practices.

**Chapter 6: Repatriation** – Re-entry and career issues, culture shock, benefits from repatriates, challenges of re-entry, repatriation process, managing repatriation, designing a successful repatriation programme.

Chapter 7: Comparative Labour Relations – Key issues, international pressures on national practices, multinational ownership and collective bargaining, women in global business, Quality Circles, participative management, responses of Labour Unions to MNCs.

**Chapter 8: Global HRM practices** – an overview of HRM in USA, UK, Japan, West Germany, China & India, innovative management techniques and their influences, social responsibility and global business ethics and International HR managers, future of GHRM.

- 1. Evans, Pucik&Barsoure, The Global Challenges Framework for International Human Resource Management Tata McGraw Hill/Irwin.
- 2. Peter J. Dowling and Welsh International Human Resource Management Thomson Publications.
- 3. Aswathappa, K &Sadhna Dash– International Human Resource Management McGraw-Hill.
- 4. Tony Edwards & Chris Rees, International Human Resource Management Pearson Education.
- 5. Monir H. Tayeb– International Human Resource Management, Oxford University Press.
- 6. Rao P.L. International Human Resource Management Excel Books.
- 7. Dennis R. Briscoe and Randall S.Schuler- International Human Resource Management, Routledge Publications. Hilary Harris- Chris Brewester and Paul Sparrow Publications,
- 8. Hilary Harris, Chris Brewester and Paul Sparros—International Human Resource Management- Routledge Publications.
- 9. Bartlett C., Ghoshal S. and Birkinshaw, J– Transnational Management: Text, Cases and Readings in CrossBorder Management, Boston, M.A. McGraw-Hill/Irwin
- 10. Geert Hofstede (1980) Cultures, Consequences: International differences in work related values-Sage Publishers.
- 11. IAN BeardWell& Len Holden Human Resource Management- Macmillan Publishers.
- 12. Gary Dessler, Human Resource Management, Prentice Hall.
- 13. Willy McCourt and Derek Eldridge- Global Human Resource Management-Edward Elgar Publishing Ltd.
- 14. Gordon Redding & Bruce W Stening Cross-Cultural Management-Edward Eelgar Publishing Limited.

# MBAS 560: COMPENSATION MANAGEMENT

Workload : 4 hours per week Examination : 3 hours - 70 marks

Objectives : This course aims at providing theoretical foundations, designing and

methods of reward and remuneration strategies practiced in business

organizations.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars etc.

**Chapter 1: Introduction:** Concept, historical development of payment systems and importance. Components and Dimensions of compensation program, Factors influencing compensation, The 3-p compensation concept.

Chapter 2: Economic and Behavioural Dimensions of Compensation Management: Economic theory of wages and its limitations. Motivational issues in compensation management, organizational justice and compensation, impact of Compensation on employee behaviours, consequences of dissatisfaction with compensation. Job evaluation and compensation.

**Chapter 3: Managing Compensation:** Designing a compensation system, Internal and external equity in compensation system, Framework of compensation policy, compensation as a retention strategy.

**Chapter 4: Performance Based Compensation:** Significance of performance appraisal system, effect of compensation on performance and motivation, pay for performance system, meeting the challenges of pay for performance system, types of pay for performance plan, compensation and performance, competency based compensation.

**Chapter 5: Wages and Salary Administration:** Concept of wages, the wage determination process, methods of wage fixation, theories of wages, types of wages, wage differentials, wage policy and its importance, legal framework and compensation, objective of national wage policy.

**Chapter 6: Benefits and Administration:** Benefits determination process, Employees benefits and services, legally required benefits-dearness allowance, employee stock option plan(ESOP), types of retirement benefit plans, Flexible compensation/benefits plans, significance and types of various incentives.

**Chapter 7: Compensation of special groups:** Top executives, special features and components, Compensation for CEO, fringe and retirement benefits, perquisites, culture and pay.

**Chapter 8: Emerging Issues and Trends:** Tax implication of Employee Compensation package to the employer, tax efficient compensation package, Salary

structuring, recent changes in taxation, comparative international compensation, new trends in compensation management.

- 1. Milkovich G. T, Newman J .M, &VenkataRatnam C. S- Compensation McGraw Hill Irwin.
- 2. Deb Tapomoy-Compensation Management, 1stedn-Excel Books, New Delhi.
- 3. Armstrong, M and H Murlis Reward Management: A Hand Book of Remuneration Strategy and Practice Kogan Page, London.
- 4. Martocchio J. J.-Strategic Compensation Pearson Education.
- 5. Gerhart B &Rynes S L-Compensation Theory, Evidence and Strategic Implications-Sage Publishers, New Delhi.
- 6. Sarma A.M-Understanding Wages and Compensation Management -Himalaya Publishing House. Mumbai.
- 7. Dr. KanchanBatia- CompensationManagement, 1<sup>st</sup>edn Himalaya Publishing House, Mumbai.
- 8. B.D.Singh-Compensation and Reward Management, 1<sup>st</sup>edn Excel Books, New Delhi.
- 9. Wallace M.J. and Fay C.H- Compensation Theory and Practice Tata Mc Graw Hill.
- Henderson, Richard I- Compensation Management in a knowledge Based World -Printice Hall.
- 11. Director Soms, MS- 27, Wage and Salary Administration IGNOU, New Delhi.
- 12. Decenzo A, David and Robbins P Stephen- Personnel/HRM, Prentice Hall of India, New Delhi.

# **MBAS 561: Performance Management**

Workload : 4 hours per week Examination : 3 hours - 70 marks

Objectives : The course aims at providing theoretical foundations, designing and

methods of reward and remuneration strategies practiced in business

organizations.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars etc.

**Chapter 1: Introduction -** Definition, concerns and scope - Historical developments in Performance Management - Performance appraisal Vs performance management - performance management - processes for managing performance - Essence and Implications of Performance Management-critical appraisal.

Chapter 2: Planning Performance Management- Designing and Implementing Performance Management Process – Performance Planning, defining objectives and performance standards, defining capability requirements, performance management system implementation, automation of performance management process, legal requirements

**Chapter 3: Performance and Development -** Setting objectives - Organizational and individual performance plans - Components of Managers performance and development plan - setting mutual expectations and performance criteria. Performance and potential management

**Chapter 4: Monitoring and Mentoring -** Introduction - Supervision - Objectives and Principles of Monitoring - monitoring process - periodic reviews - problem solving - engendering trust - role efficacy. Measuring Performance — Managing for results, managing behaviour, managing competencies, conducting performance reviews

**Chapter 5: Annual Stocktaking -**Stock taking performance - process of Judgment vs process of analysis - stock taking discussions - Delivering efficient feedback - 360-Degree Feedback- stocktaking potential - Tools for stocktaking potential.

**Chapter 6: Appraising for Recognition & Reward -**Purpose of Appraising - Methods of appraisal - appraisal system design - Implementing the appraisal system - Appraisals and HR decisions.

**Chapter 7: Organisational effectiveness -**Role of Leadership and Change in organizational effectiveness - rules of thumb for change agents - performance management skills - Operationalizing change through performance management.

**Chapter 8: High Performing Teams -\***Building and leading High performing teams - team oriented organizations - developing and leading high performing teams. Management team performance, managing organizational performance, performance management and pay, performance management training, evaluating performance management

#### References

1. Prem Chadha: Performance Management, Macmillan India, New Delhi, 2003.

- **2.** Michael Armstrong & Angela Baron, Performance Management: The New Realities, Jaico Publishing House, New Delhi, 2002.
- **3.** T.V.Rao, Appraising amd Developing Managerial Performance, TV Rao Learning Systems Pvt Limited, Excel Books, 2003.
- **4.** David Wade and Ronad Recardo, Corporate Performance Management, Butter Heinemann, New Delhi, 2002.
- **5.** Henderson, Compensation Management in a Knowledge Based World 9/e Pearson Education.

## MBAS 562: LEGAL ASPECTS OF BANKING AND INSURANCE

Workload : 04 hours per week

Examination : 03 hours - 70 marks

Objectives : To acquaint the students with the legal aspects relating to

banking and insurance sector.

Pedagogy : Lectures, assignments, practical exercises, discussions,

seminars

**Chapter 1: Legal framework of regulation of Banks**: Control over organization of Banks; Regulation of Banking Business; Returns- Inspection and winding up; Banking Regulation Act 1949; RBI Act 1934.

**Chapter 2: Legal aspects of Banking Operations**: Responsibility of Paying Banker – Collecting Banker – Indemnities – Bank guarantees – Letters of Credit – Bill Finance; Cases.

**Chapter 3: Law relating to securities and modes of charging**: Types of borrowers – Types of Credit facilities – Secured and unsecured loans – Registration & satisfaction of charges; Cases.

**Chapter 4: SARFAESI Act, 2002**: Introduction – Securitization of financial assets – Enforcement of security interest – Banking Ombudsman scheme 2002-Procedure for redressal of grievances – Arbitration procedure – Conciliation; Cases.

**Chapter 5: Recovery of Assets due to Banks**: FI Act of 1993 – Tribunal and appellate tribunals, Provisions and procedure of tribunals – Bankers Book of Evidence Act 1891; Cases.

**Chapter 6: Insurance Contract Analysis:** Legal framework of Insurance Business – Claims Negotiation – Understanding Contracts Structure – Standard Contract; Cases

Chapter 7: Legal aspects of Insurance: Insurance Act 1938; Indian contract Act 1872; Consumer protection Act 1986; Life Insurance Act 1956; IRDA Act 1999, Re-insurance and Double Insurance; Motor Vehicles Act 1938 and 1988- Public Liability Clause 1991; Case.

**Chapter 8: Insurance Documents**: Insurance forms- proposal forms; Cover notes — Certificate of Insurance; Policy forms — Endorsements — Other Documents; Cases.

## Reference

- **1.** Legal and Regulatory Aspects of Banking Operations IIBF, 2/e, Mcmilan, New Delhi.
- **2.** P.K.Gupta-Insurance & Risk Management ,2/e-Himlaya Publishing House, Mumbai.

- 3. Natarajan & Gorden-Banking Theory Law & Practice Himalaya Publishing, Mumbai.
- 4. Shekhar KC, ShekharLekshmy- Banking Theory and practices Vikas Publishing House, New Delhi.

## MBAS 563: TREASURY AND RISK MANAGEMENT

Workload : 04 hours per week

Examination : 03 hours - 70 marks

Objectives : This course aims at introducing the concept of banking risk and

its managing strategies.

Pedagogy : Lectures, assignments, practical exercises, discussions,

seminars

**Chapter 1: Introduction to Treasure Management**: Objectives – Concept – Functions of integrated treasury; Process of Globalization – Evolving role of Treasury as profit centre – Organization of treasury.

Chapter 2: Treasury Products: Short term funds and investment management – Financial Marketing – Money Market – Capital Market – Call money – Government Securities – REPOs Repo Rate – Repo Pricing Types of Repos – certificate of deposits – rediscounting bills- commercial papers – Inter – Bank Participation certificate – Collaterised Borrowing and Lending Obligations (CBLO); ForeignExchange Markets and treasury – Linkage of domestic operations with foreign operations.

Chapter 3: Asset Liability Management 1: Risk Management Process – Risk Organization – Asset LiabilityManagement (ALM) – Objectives – Organization – Functions of ALCO – Risks – Interest Rate Risk Liquidity Risk – Assessment of Liquidity – Maturity Profile and Interest rate Ladder Credit Risk – Currency Risk – Capital Risk – contingent Risk – Basel Norms I, II, and III.

Chapter 4: Asset Liability Management 2: Interest Rate risk and management (IRRM) Interest rate risk – GAP analysis – Rate sensitive Gap Report – Duration and Immunization – Managing IRRM with Derivatives – Simulation technique-Asset Securitization.

**Chapter 5: Asset Liability Management – 3**: Risk Exposure analysis - Stress Testing – exposure Document – open position – stop-loss limit – Modified Duration – Convexity- Risk Adjusted Return on capital (RAROC) – Audit Risk Management

**Chapter 6: Financial futures** – forwards – FRA and interest rate swaps – Cross currency swaps – options – Caps – Floors and complex swaps for managing interest rate risk – Foreign Exchange risk management using Derivatives.

Chapter 7. Sound practices for management and supervision of operational risk (SPOR); Three approaches to SPOR; Operational risks – Identification – effects and Causes – Assessment – Measurement – Scenario Analysis

Market Risk - types - Market risk identification - Model Risk - Risk identification in practice

**Chapter 8:** Risk Measurement – Nominal Amount approach – Sensitivity Based approach – Basis point value – Value at Risk (VaR) – Computation of VaR – VaR and absolute VaR – Usefulness and Limitations of VaR – Back Testing – Stress Testing.

- 1. Treasury Management- IIBF, Mcmilan, New Delhi, 2011.
- 2. Kotreshwar G -Risk Management: Insurance & Derivatives- 2/e, Himalaya Publishing,

Mumbai.

- 3. Chance -Introduction to Derivatives & Risk Management-, Cengage Learning, New Delhi.
- 4.Saita.F- Value at Risk and Bank Capital Management, 1/e- Elsevier Inc., U.K.
- 5. Rejda, George E.-Principles of Risk Management and Insurance- Addison Wesley Longman.
- 6. McNamara- Principles of Risk Management and Insurance-Addison-Wesley
- 7. Dorfman- Introduction to Risk Management and Insurance- Prentice Hall.
- 8. Williams & Heins Risk Management and Insurance-McGraw Hill.
- 9. James S. Treischmann, Sandra G. Gustavson Risk Management and Insurance-South

Western Thomson Learning.

# **MBAS 564: Rural Banking**

Workload : 04 hours per week

Examination : 03 hours - 70 marks

Objectives : This course aims at introducing the concept of rural banking

and its managing strategies.

Pedagogy: Lectures, assignments, practical exercises, discussions,

seminars

**Chapter 1:** Principles and functions of banking, Co-operative banking, Special features, Negotiable instruments, Cheques, bill of exchange, Promissory Notes. An insight into the rural banking scenario with special focus on prevailing opportunities and challenges.

**Chapter 2:** Banker customer relationship, Psycho-demographic approach, Customer centric vs business centric approach.

**Chapter 3:** Co-operative banking, agriculture and non-agriculture organization, function of State Apex Co-operative banks and District Central Co-operative Banks, RBI functions and role, NABARD, Lead Bank Scheme, RRB objective and functions. Strengthening the Regional Rural Banks (RRBs), reforms in cooperatives, expansion of the purview of the priority sector

**Chapter 4:** Banking and I.T., E-banking. ATM. M-banking in rural banking, opportunities and challenges.

**Chapter 5:** Domestic Cash Management, ST/MT Funding, Meaning and importance cash management, Objectives, Cash flow cycle, Cash flow budgeting and forecasting, Electronic cash management, MT and LT funding, Term loans, Securitization, Cost center, Profit center, Planning and control, Capital Budgeting.

**Chapter 6:** Liquidity Management- Objectives-Sources-Maturity concerns: Projected cash and core sources- Contingency Plans- ST/NT Liquidity – Maturity Ladder Limit- Internal control- Information- Netting.

**Chapter 7:** Regulation, Supervision and Compliance- Need and significance of internal and external audit.

- 1. Banking Regulation Act.
- 2. K C Shekhar and Lekshmy Shekhar, Banking Theory and Practice, 21st Edition, Vikas Publishing House
- 3. IIBF (Indian Institute of Banking and Finance), Rural Banking, Macmillan
- 4. IIBF -Principles and practices of Banking –, 2/e, Macmillan, 2011.

- 5. Bala Krishna A V and Sohani A K, Indian Rural Banking: Changing Paradigm (Banking Series), ICFAI UNIVERSITY PRESS (2008).
- 6. Indian Institute of Banking Finance, Rural Banking Operations, Taxmann's Publications (2012)
- 7. SSM Desai, Rural Banking in India, HPH
- 8. Gordon and Natrajan, Banking theory law & practice, Himalay Publishing House
- 9. Premkumar Shrivastav, Banking theory and practice, Himalaya Publishing House
- 10. Bhaktapada Roy, Rural Banking and Poverty Alleviation, Abhijeet,1 edition, 2008
- 11. Basu. A.K. Fundamentals of Banking Theory and Practice.
- 12. Chatterjee, A., Bank Credit Management.
- 13. Choubay, B.N., Principles and Practice of Co-operative Banking.
- 14. Tanan, M.L. Banking Law and Practice in Nagotiable Instrument India Act.
- 15. Tanan, M.L., Banking Law and Practice

# MBAS 565: Quality Management in Healthcare Organization

Workload : 4 hours per week – Total credits 4

Examination : 3 hours 70 marks

Objectives : The objective of the course is to provide the students with a solid

foundation in the implementation of quality improvement activities and the tools necessary to evaluate and improve their

efforts toward quality of care.

Pedagogy : Lectures, assignments, practical exercises, discussions, seminars.

Chapter 1: Introduction – concepts of quality and total quality. Components of quality: Objectives and importance of quality improvement in health care organization, continuous quality improvement, steps in quality improvement. Quality Management philosopher. Dimensions of quality in health care organization.

Chapter 2: Quality control tools and techniques: TQM models, Quality standards, ISO and its implementation. Brain storming – bench marketing initiation taken by the ministry of health and family welfare statistical quality control, process charts, six sigma concept, POKA YOKE and quality control tools, quality circle.

**Chapter 3: Evaluation of Quality Management:** Concurrent, terminal and cyclical evaluate, audit in Health care, Nursing audit – Antibiotic audit, clinical audit, patient satisfaction survey.

**Chapter 4: Hospital Accreditation:** Concept importance, benefits, accreditation bodies, overview of standards, patient focused quality standards, quality improvement and patient safety.

**Chapter 5: Medical Audit:** Meaning — Protocols — Audit Elements, Audit team duties and responsibilities, Medical record audit, audit types, Audit methods, audit monitoring, audit practice, constraints implementation.

- 1. Donald Lighter and Douglas C Fair Quality Management in Health Care, Principles and Methods -Jones and Bartlett Publishers.
- 2. James R. Evans -Total Quality Management— South Western Publishers 2<sup>nd</sup> edition,
- 3. Pena, Jesus Hospital Quality Assurance Aspen Pub.
- 4. Hugh C.H. Koch Total Quality Management in healthcare- Longman.
- 5. A.V. Srinivasan (Ed) Managing Modern Hospital Response Books.
- 6. Sarasmith Don S. M Clair, Rosalind Raine and Barnaby Reevs Health care Evaluation TMH.

## MBAS 566: LEGAL ISSUES IN HEALTHCARE & BUSINESS ETHICS

Work Load : 4 hours per week – Total credits 4

Examination : 3 hours 70 marks

Objectives :To expose the students to the various legal aspects concerning

business, service and Healthcare and create awareness on the

relevance and their application.

Pedagogy : Lectures, assignments, practical exercises, discussions,

seminars.

Chapter 1: Introduction: Overview of laws in business and healthcare – Source of law – interpretation of law – Trade Union Act – Trust Act – Societies Registration Act.

**Chapter 2: Medical Legal Procedures** – Medical Jurisprudence – Patient confidentiality – Law of Torts – Law on Communicable diseases – Notifiable diseases – WHO – International Heath Regulations.

**Chapter 3: Environment Protection Act**: Salient Features Occupational hazards, Safety and Health Measures – Food and Drugs Laws – Drugs and Cosmetics Act – Mental Health Act – Birth & Death Registrations Act – Biomedical Waste management Act and their applications and relevance in healthcare.

**Chapter 4: An overview of MCI Act,** Pre Natal Diagnostic Test Act, Medical Termination of Pregnancy Act, Organ Transplantation Act – Medical Negligence – Consumer Protection Act – Patient Rights.

**Chapter 5: Contract Labour and casual Labour Acts -** an over view of Shops and Establishment Act, Minimum Wages Act, Payment of Wages Act, Employees State Insurance Act, Provident Fund Act, Payment of Gratuity and Equal Remuneration Act.

Chapter 6: Introduction to ethics; nature, scope and purpose – Importance of ethics and moral standards – Values, Norms, Beliefs and Standards – Normative ethics in management – ethics and morals.

**Chapter 7: Managing ethics** – ethics and the organization – Myths about business ethics – Ethical dilemma – Ethics and the Environment – Ethical decision making – Moral Reasoning; Psychological perspectives – Moral Reasoning and Organization Culture: Cultures based on fear, punishment and exchange.

**Chapter 8: Ethics in Management** – Ethics in HRM – Ethics in Marketing – Ethics in Finance – Corporate Social responsibility: Pyramid of corporate social responsibility, domains of corporate social responsibility – Ethical codes of conduct – Protection of employees: guarding against sexual harassment.

#### Note:

1. Relevant case studies in healthcare should be taken up for discussion in the class.

2. Judicial decisions by Supreme Court and High Court.

- 1. Kuchhal M C-Business Laws -Vikas Publishing House Pvt. Ltd., New Delhi.
- 2. Goswani V.G.-Labour& Industrial Laws Central Law Agency, Allahabad.
- 3. Kapur N D A Handbook of Industrial Law- Sultan Chand and Sons New Delhi.
- 4. Raj kumar Acts applicable to hospitals in India.
- 5. Knight Bernard Legal Aspects of Medical Practice- Churchill Livingstone.
- 6. Saravanavel& Others Labour Laws Himalaya Publishing House, Bangalore.
- 7. Bhatia S.K. Business Ethics and Managerial Values -Deep & Deep Publications Pvt. Ltd., New Delhi.
- 8. Murthy CSV Business Ethics: Text & Cases Himalaya Publishing House, Bangalore.
- 9. Biswanath Ghosh Ethics in Management and the Indian ethos -Vikas Publishing House Pvt. Ltd., New Delhi.

# MBAS 567: Health Entrepreneurship

Workload : 04 hours per week

Examination : 03 hours - 70 marks

Objectives : This course aims at introducing the concept of health

entrepreneurship and its scope.

Pedagogy : Lectures, assignments, practical exercises, discussions,

seminars

**Chapter 1:** Introduction to Health Entrepreneurship: ethics and social responsibility of health entrepreneurs; opportunities for health entrepreneurs; recent trends and emerging issues for health entrepreneurs;

**Chapter 2:** Entrepreneurship strategy/Business model: Framework for new venture development; entrepreneurial strategies across the life cycle of business growth- for the emerging venture, for the growing venture, and for sustain growth in the established venture;

**Chapter 3:** Financing options for the venture; key strategic roles of creativity, opportunity identification, opportunity evaluation, and innovation in the emergence and growth of entrepreneurial firms; case-study based learning from success, failures and foibles of ventures in the health sector;

**Chapter 4:** Entrepreneurship (Business) plan: Nature, purpose and scope of business plan; writing business plan; evaluating business plan; using and implementing various components of the business plan – marketing plan, financial plan, operating plan and organizational plan;

**Chapter 5:** Statutory requirements for launching a new health venture; Enterprise growth: Impact of growth; sustaining growth; management challenges; sustaining the entrepreneurial spirit; conflict, competition and collaborations business expansion strategies and issues; exit strategies;

**Chapter 6:** Techno- Entrepreneurship: Foundations of the field; parameters of techno – entrepreneurship; key processes of techno-entrepreneurship; incubation and technology transfers; pivotal factors in the success of techno-entrepreneurship; case studies of leading e-health and biotechnology companies to illustrate and substantiate the successful techno-entrepreneurship paradigm; intellectual property protection;

**Chapter 7:** Social Entrepreneurship: Concept, need and opportunity of social entrepreneurship; distinctive characteristics of the social enterprise context and organizations; critical components of social entrepreneurship; business skills and frameworks for achieving maximum impact through social entrepreneurship; influencing policy to support entrepreneurship; case studies of social (health) enterprises in national and international settings.

- 1. Drucker, Peter. F. (2009). Innovation and Entrepreneurship: Practice and Principles. Amsterdam: Elsevier, Butterworth-Heinemann.
- 2. Fayolle, Alain. (2007). Entrepreneurship and New Value Creation: The Dynamic of the Entrepreneurial Process. United States of America: Cambridge University Press.
- 3. Francois, Therin. (2007). Handbook of Research of Techno-entrepreneurship. United States of America: Edward Elgar Publishing.
- 4. Gundry, Lisa. K. & Kickul, Jill. R. (2007). Entrepreneurship Strategy: Changing Patterns in New Venture Creations, Growth, and Reinvention. United States of America: SAGE Publication.
- 5. Harold, P. Wlesch. (2004). Entrepreneurship: the way ahead (Paperback). London: Taylor & Francis e-Library.
- 6. Harvard Business Essentials. (2005). Entrepreneur's Toolkit: Tools and Techniques to Launch and Grow your New Business United States of America. Harvard Business School Publishing Corporation.
- 7. Kuratko, Donald F. (2008). Entrepreneurship. Theory, Process and Practice. United States of America: South-Western, Cengage Learning.
- 8. Kuratko., & Hodgetts. (2009). Entrepreneurship in The New Millennium. India: Cengage learning.
- 9. Mellor, Robert (2009). Entrepreneurship for Everyone: A Student Textbook. Great Britain: T J International Ltd.
- 10. Plizer, Paul. Zane. (2007). The New Wellness Revolution: How to Make a Fortune in the Next Trillion Dollar Industry. United States of America: John Wiley & Sons.
- 11. Prahalad, C. K. (2010). The Fortune at the Bottom of the Pyramid: Eradicating Poverty Through Profits. United States of America: Wharton School Publishing.
- 12. Roy, Rajeev (2009). Entrepreneurship. New Delhi: Oxford University press.
- 13. Wei-Skillern, J., Austin, J. E., Leonard, H., & Stevenson, H. (2007). Entrepreneurship in the Social Sector. United States of America: SAGE Publications.